

Dynamical Mean Field Theory for Correlated Electron Physics

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Outline

- 1. The dynamical electronic structure question**
- 2. From DFT (Density Functional band Theory) to DMFT (Dynamical Mean Field Theory)**
- 3. Application: density fluctuation induced by muon**
- 4. Application: pseudogaps in high T_c**
- 5. Response functions (in process)**
- 6. Where next?**



**The dynamical electronic structure
problem:
Why is it hard?**



We know the Hamiltonian

$$\mathbf{H} = \sum_i \frac{-\nabla_i^2}{2m_e} + \sum_i V_{ext}(r_i) + \frac{1}{2} \sum_{i \neq j} \frac{e^2}{|r_i - r_j|}$$

We know the equation

$$\mathbf{H}\Psi_n = -i\partial_t\Psi_n$$

So stop complaining and solve it (!?)



Not so fast!

Typical lattice constant: 4 Angstrom

Interesting length ~ 100 Angstrom

$\Rightarrow \sim 1000$ electrons with 3 (x,y,z) coordinates.

Interaction 'entangles' coordinates \Rightarrow

Schroedinger equation $\Psi(\vec{r}_1, \dots, \vec{r}_{1000} \dots)$ **Intractable**

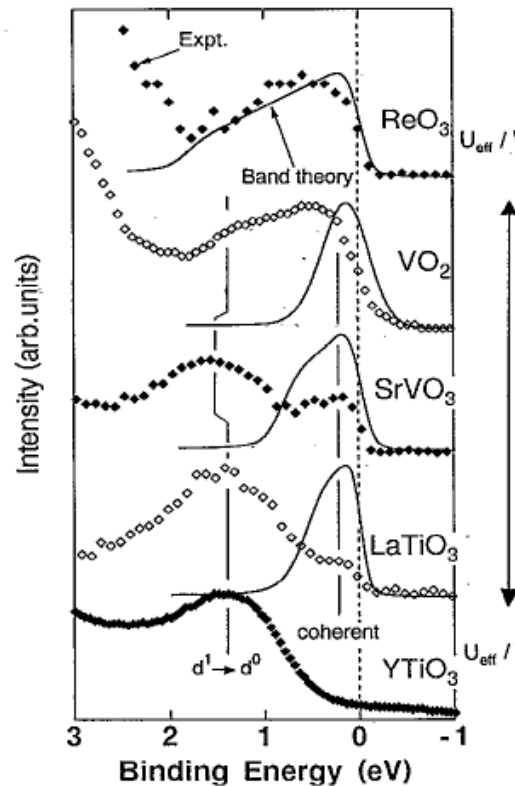
Even worse: Ψ is fully antisymmetric function of spins and coordinates

``Fermion sign problem''



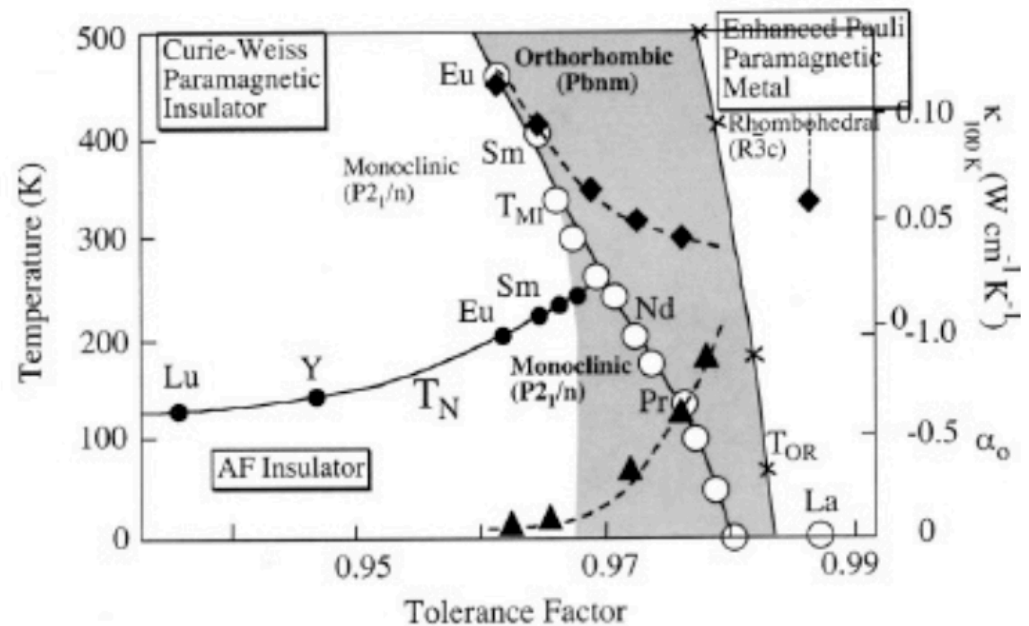
Perturbation theory (e.g. LDA+GW) inadequate in many cases

Excitation spectra



Phase Diagrams

ReNiO_3

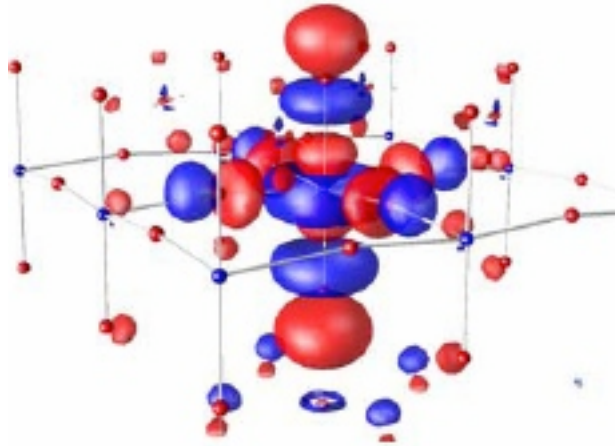


Fujiimori et al PRL 69



Model system:
**‘active subspace’: correlated orbitals
to be treated by refined technique**
‘passive’ subspace: mean field theory

**Representation of Wannier
function for LaNiO₃**



OK Andersen [http://online.kitp.ucsb.edu/
online/materials10/andersen/](http://online.kitp.ucsb.edu/online/materials10/andersen/)

**=>Model system:
matrix elements of
Hamiltonian in
restricted basis**

$$- \sum_{ij\sigma} t_{i-j} d_{i\sigma}^\dagger d_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

Here: 1 band model



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BUT this is still not enough

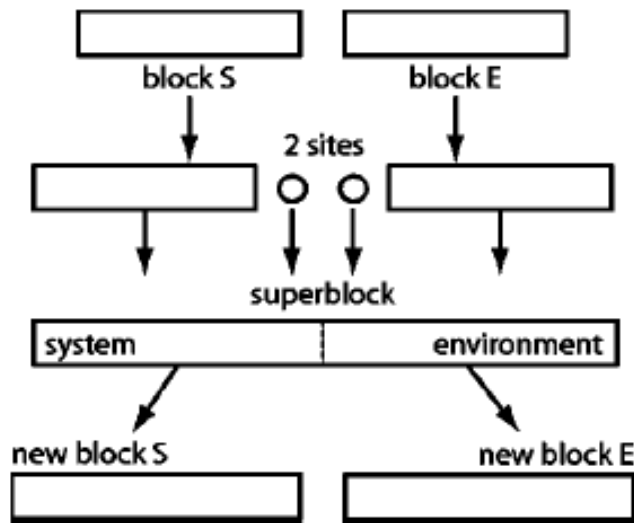
N sites, P orbitals per site =>

Dimension of Hilbert space: 4^{NP}

Direct diagonalization: in general becomes impossible before reach large enough system



'Indirect diagonalization': density matrix renormalization group



U. Schollwoeck, RMP77 259

F. Verstraete et al. Adv.
Phys. 57,143 (2008)

Method of choice for 1d model
system problems

Becoming important tool in
quantum chemistry

Interesting connections to
quantum information theory

But: still cant do $d > 1$



Alternative: Stochastic (Monte-Carlo) exploration of configuration space

To estimate
expectation value of
some quantity A

$$\langle A \rangle_p = \frac{1}{Z} \int_{\mathcal{C}} d\mathbf{x} \mathcal{A}(\mathbf{x}) p(\mathbf{x})$$

Here

Z =partition function

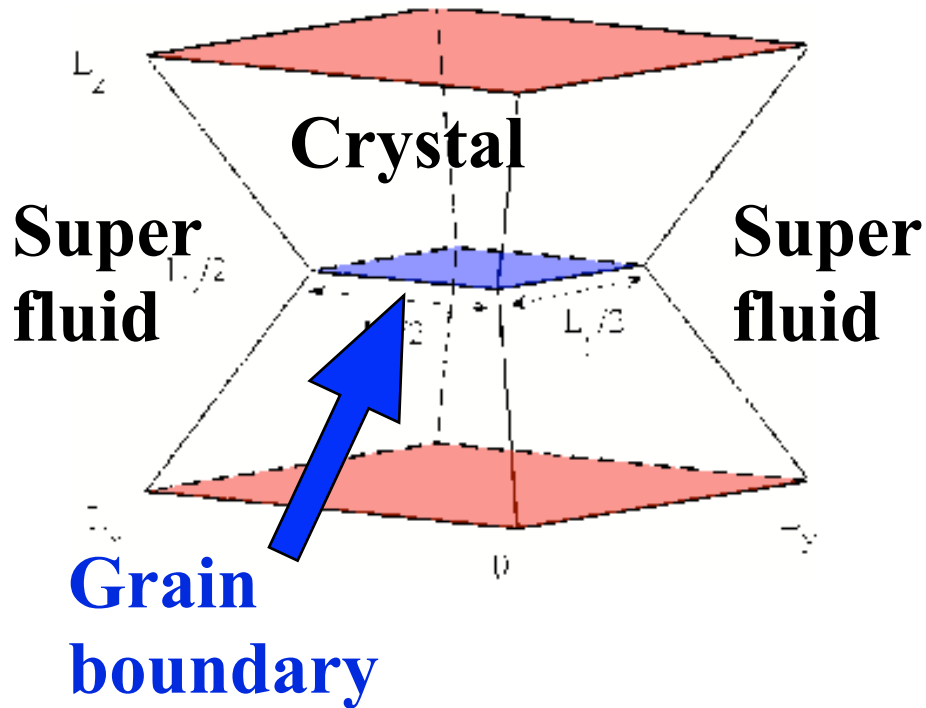
\mathbf{x} =some configuration

$p(\mathbf{x})$: contribution of \mathbf{x} to partition function

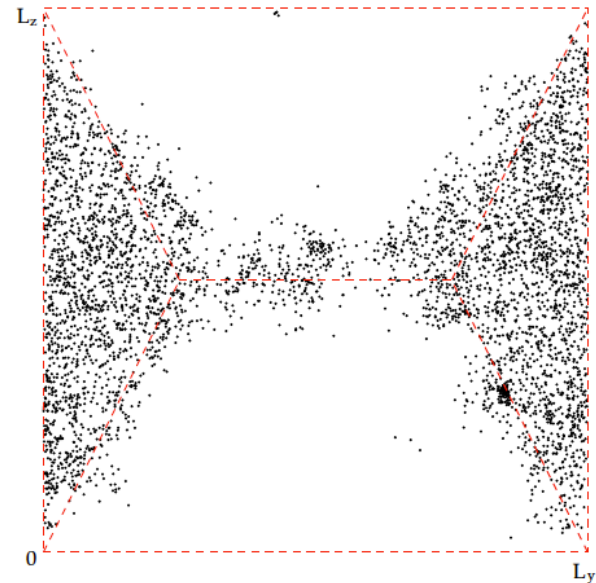


Method of choice for (unfrustrated) boson problems

Superfluidity of grain boundaries in solid He4



Density of points
~ condensate fraction



Pollett, Troyer et al 2007



BUT for fermions

Sign problem: antisymmetry of wave function means that different configurations come with different signs. $p(\mathbf{x})$ not always positive

Sample $\langle A \rangle_p = \frac{1}{Z} \int_{\mathcal{C}} d\mathbf{x} \mathcal{A}(\mathbf{x}) p(\mathbf{x})$

using $\rho(x) = |p(x)|$

$$\langle \mathbf{A} \rangle_{\mathbf{p}} = \frac{\langle \mathbf{A} \text{ sign}[\mathbf{p}] \rangle_{\rho}}{\langle \text{sign}[\mathbf{p}] \rangle_{\rho}} = \frac{\frac{1}{Z} \int_{\mathcal{C}} d\mathbf{x} \mathbf{A}(\mathbf{x}) \text{ sign}[\mathbf{p}(\mathbf{x})] |\mathbf{p}(\mathbf{x})|}{\frac{1}{Z} \int_{\mathcal{C}} d\mathbf{x} \text{ sign}[\mathbf{p}(\mathbf{x})] |\mathbf{p}(\mathbf{x})|}$$



Problem (Ceperly, 1996; Assaad, 1991....)

$$\langle \text{sign} \rangle = Z = e^{-\frac{F_{\text{fermion}}}{T}}$$

Partition function of fermion system

$|p(x)|$: weight of configuration of "boson" system

$$|p(x)| \sim \text{Exp}\left[-\frac{F_{\text{"boson" }}}{T}\right] \quad \text{with} \quad F_{\text{"boson" }} < F_{\text{fermion}}$$

$$\langle \text{sign} \rangle = \frac{Z}{Z_{\rho}} = \text{Exp}\left[-\left(\frac{F_{\text{fermion}} - F_{\text{boson}}}{T}\right)\right]$$

Vanishes exponentially as $T \rightarrow 0$ or system size \rightarrow infinity



**Direct fermion QMC becomes impractical
before size gets big enough or T low enough**



Because direct approach inadequate,

Indirect approach: express (some aspects of) solution of physical problem in terms of solution of auxiliary problem

Best known example: density functional theory



Recall density functional theory

Basic Theorem (Hohenberg and Kohn): \exists functional Φ of electron density $n(\mathbf{r})$: minimized at physical density; value at minimum gives ground state energy

$$\Phi[\{n(\mathbf{r})\}] = \Phi_{univ}[\{n(\mathbf{r})\}] + \int (d\mathbf{r}) V_{lattice}(\mathbf{r}) n(\mathbf{r})$$

Kohn and Sham:

Minimize by solving auxiliary (band theory) problem with self-consistently determined potential V_{XC}

V_{XC} found from uncontrolled but apparently reasonable approximation (LDA, GGA, B3LYP....)



Recent success: parallel development of many body theory

Density functional \Leftrightarrow 'Luttinger Ward functional

Kohn-Sham equations \Leftrightarrow quantum impurity model

Particle density \Leftrightarrow electron Green function



Many-body formalism: Luttinger-Ward functional

$$\mathbf{F}[\{\Sigma(\mathbf{p}, \omega)\}] = \Phi_{\text{univ}}[\{\Sigma\}] + \text{Tr} \ln [\mathbf{G}_0^{-1} - \Sigma]$$

\mathbf{G}_0 : Green function of noninteracting reference problem (contains lattice potential)

Φ_{univ} : sum of all vacuum to vacuum diagrams. 'Universal':
Depends only on band structure and interactions

$$\frac{\delta \Phi_{\text{univ}}}{\delta \Sigma} = \mathbf{G} \quad \Rightarrow \quad \frac{\delta \mathbf{F}}{\delta \Sigma} = \mathbf{G} - (\mathbf{G}_0^{-1} - \Sigma)^{-1}$$

Stationarity: Dyson equation



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For almost 40 years, situation analogous to density functional theory after Hohenberg-Kohn paper

F_{univ} not known except perturbatively

No way known to carry out minimization

Kotliar and Georges found analogue of Kohn-Sham result: ‘uncontrolled’ approximation for F and way to carry out minimization via auxiliary problem



Approximate the space dependence of self energy in terms of frequency-independent basis functions

$$\Sigma_p(\omega) \rightarrow \Sigma_p^{approx}(\omega) = \sum_a \phi_a(p) \Sigma_a(\omega)$$

Here p is some position coordinate (will be momentum in much of what follows)

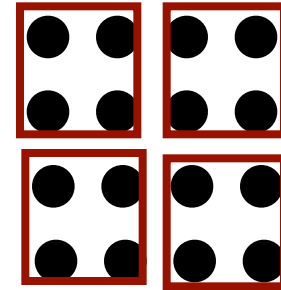
Different choices of basis function $\phi_a(p) \Rightarrow$ different “flavors” of DMFT (1-site, DCA, CDMFT....).



CDMFT: real space approach

G. Kotliar, S. Y. Savrasov, G. Palsson, and G. Biroli,
Phys. Rev. Lett. **87**, 186401 (2001).

Tile lattice with equal size cells,
write Hamiltonian, G as matrix
within supercell. Neglect terms
in Σ which go outside cell



Full self energy $\Sigma(i, j, \omega)$
 $\rightarrow \Sigma(I, I)$ (matrix inside supercell)

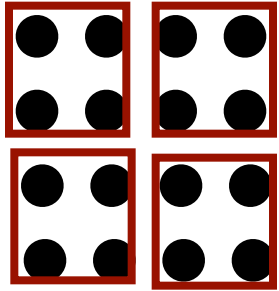
$$\mathbf{G}(I, J, \omega) = [\omega \mathbf{1} - \mathbf{T}_{I, J} - \Sigma_{I, I}(\omega)]^{-1}$$



CDMFT breaks translation invariance (unless 'cluster' contains only 1 cell)

Components of Σ within cluster are kept
but not components between clusters

treatment of intersite interactions also
inconsistent



Discussion in literature of *periodization*
(reconstructing periodic function from
result of calculation)

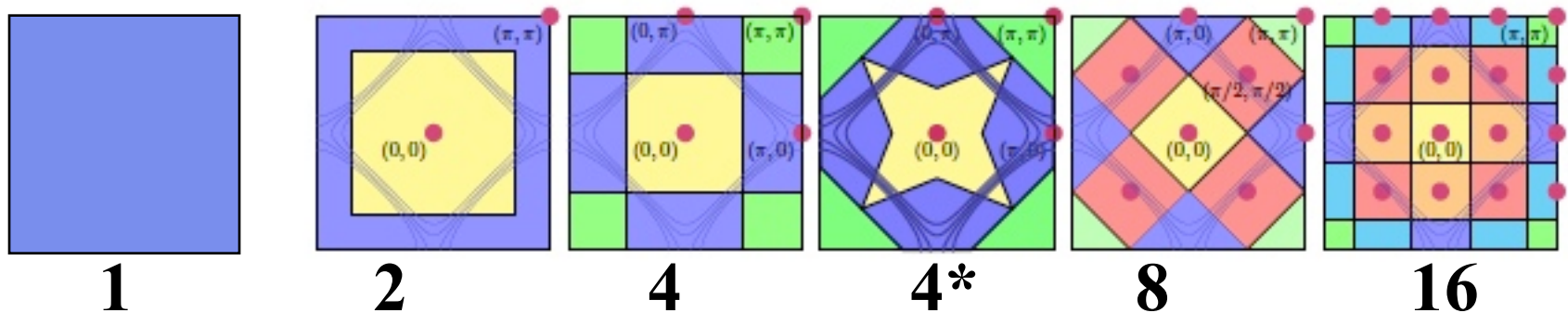
see e.g. Tudor D. Stanescu and Gabriel Kotliar
Phys. Rev. B **74**, 125110 (2006)



'DCA'

M. H. Hettler, M. Mukherjee, M. Jarrell, and H. R. Krishnamurthy
Phys. Rev. B **61**, 12739 (2000)

Momentum space approach: tile Brillouin zone
Choose N momenta K_a , draw an equal area patch
around each one



$$\Sigma_p(\omega) \rightarrow \Sigma_p^{approx}(\omega) = \sum_a \phi_a(p) \Sigma_a(\omega)$$

$\phi_a(p) = 1$ if p is in the patch containing K_a
and is 0 otherwise



Use approximate form of self energy in Luttinger-Ward function

$$\mathbf{F}[\{\Sigma\}] \rightarrow \mathbf{F}[\{\Sigma^{\text{approx}}\}] \equiv \mathbf{F}^{\text{approx}}[\{\Sigma_a(\omega)\}]$$

is a functional of a finite number of functions $\Sigma_a(\omega)$

$$\mathbf{F}^{\text{approx}}[\{\Sigma_a\}] = \Phi_{\text{univ}}^{\text{approx}}[\{\Sigma_a\}] - \text{Tr} \ln[\mathbf{G}_0^{-1} - \sum_a \phi_a(\mathbf{p}) \Sigma_a]$$

$\Phi_{\text{univ}}^{\text{approx}}$ is a functional of a finite number of functionals of frequency; thus is the universal functional of some 0 space+1 time dimensional quantum field theory and its derivative is a Green function of this model



Stationarity

$$-\frac{\delta \Phi_{\text{univ}}^{\text{approx}}[\{\Sigma_{\mathbf{a}}\}]}{\delta \Sigma_{\mathbf{a}}} = G_{\mathbf{a}}^{\text{QI}} = \text{Tr}_{\mathbf{p}} [\phi_{\mathbf{a}}(\mathbf{p}) G_{\text{lattice}}(\Sigma^{\text{approx}})]$$

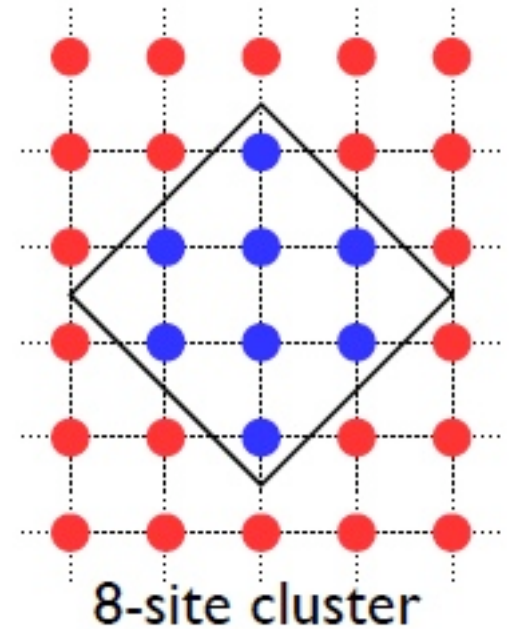
Thus, a la Kohn-Sham: determine approximation to self energy of full lattice problem from solution of auxiliary problem and self consistency condition. Don't evaluate directly: define model from self consistency condition and solve.



Useful to view auxiliary problem as ‘quantum impurity model’ (cluster of sites coupled to noninteracting bath)

Quantum impurity model is in principle nothing more than a machine for generating self energies (as Kohn-Sham eigenstates are artifacts for generating electron density)

As with Kohn Sham eigenstates, it is tempting (and maybe reasonable) to ascribe physical significance to it



Technical challenge: “impurity solver”

\Leftrightarrow find local (d-d) green functions of

$$H_{QI} = H_{loc}[\{d_a^\dagger, d_a\}] + \sum_{p,a} (V_{pa} d_a^\dagger c_{pa} + H.c.) + H_{bath}[\{c_{pa}^\dagger, c_{pa}\}]$$

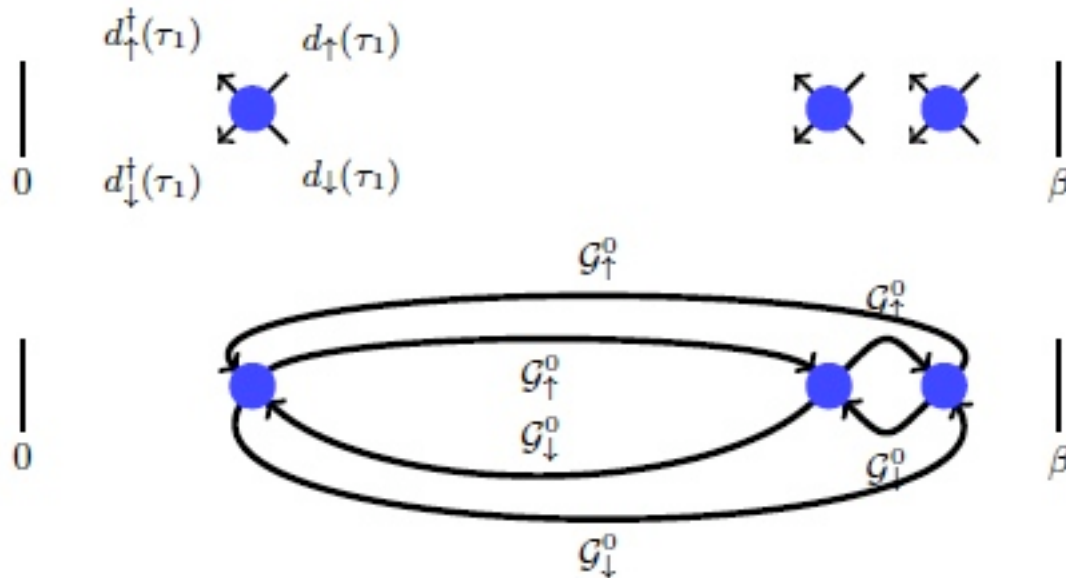
Until recently, could only be done, inefficiently for simplest models (‘Hirsh-Fye’ QMC)

Breakthrough: continuous-time quantum Monte Carlo (CT-QMC)

- *Rubtsov 05 Interaction expansion(CT-INT)
- *Werner/AJM 06 Hybridization expansion(CT-HYB)
- *Gull/Parcollet08 Auxiliary field (CT-AUX))
- *Rev Mod Phys 83 p. 349 (2011)



CT-INT: sample interaction perturbation diagram series stochastically

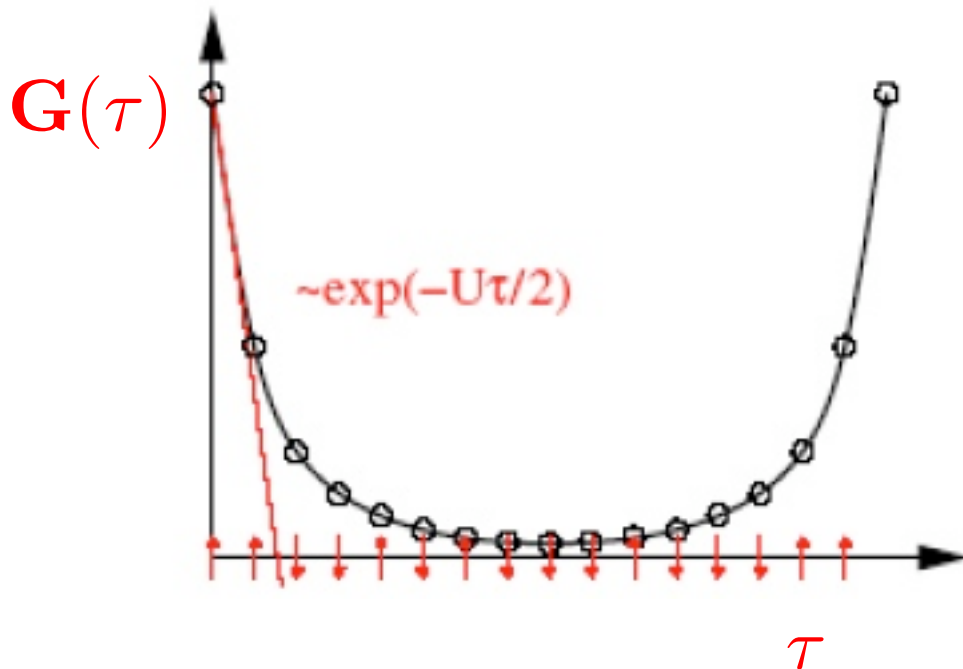


Vertex \Leftrightarrow particle

Propagator \Leftrightarrow interparticle interaction

Method works in continuous time

Previously used methods needed time discretization
=>difficulty representing behavior of Green function
at small times. CT-QMC: many-body adaptive grid

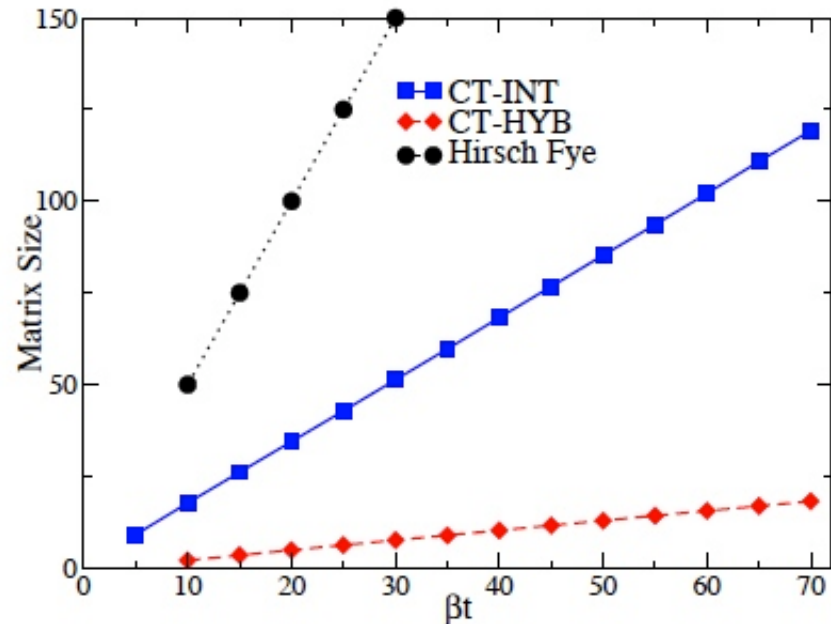


Consequence

All methods involve manipulating matrices.

Cost \sim cube of typical matrix size.

In new approaches: much smaller matrix is needed



Improved efficiency \Rightarrow surveys (of doping, temp) and study of larger clusters possible



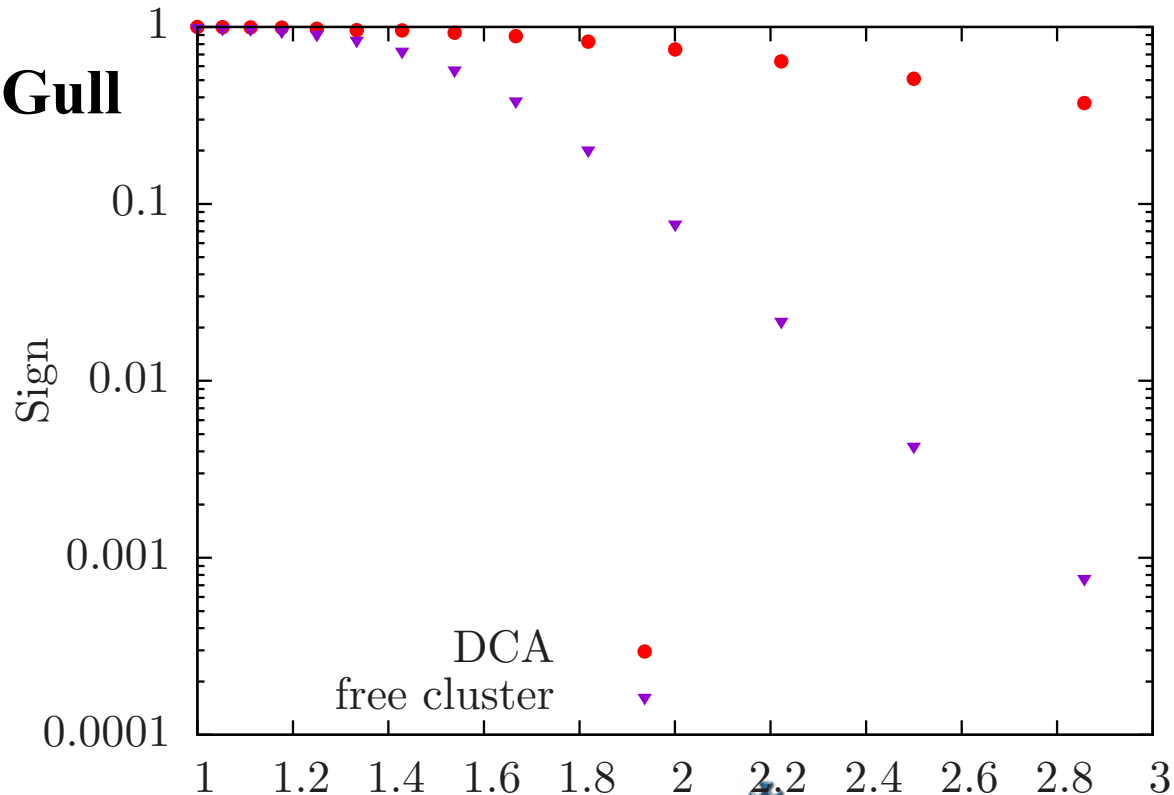
Second advantage: SIGN PROBLEM MUCH BETTER

3d Hubbard model

$$N_c = 64 \quad U = 8t$$

$$\mu = -7t \quad n = 0.2$$

S. Fuchs and E. Gull



Nontrivial questions

- Does F^{approx} exist?
- How to construct the theory which gives G^{QI}
- What basis functions are acceptable
- Do the solutions make any sense at all, given the brutality of the approximation involved
- Can we generalize this to functionals of (approximations to) two particle correlators



But note advantages

*‘Moving part’ $Tr_p [\phi_a(p) G_{lattice}(\Sigma^{approx})]$

some sort of spatial average over electron spectral function--but still a function of frequency

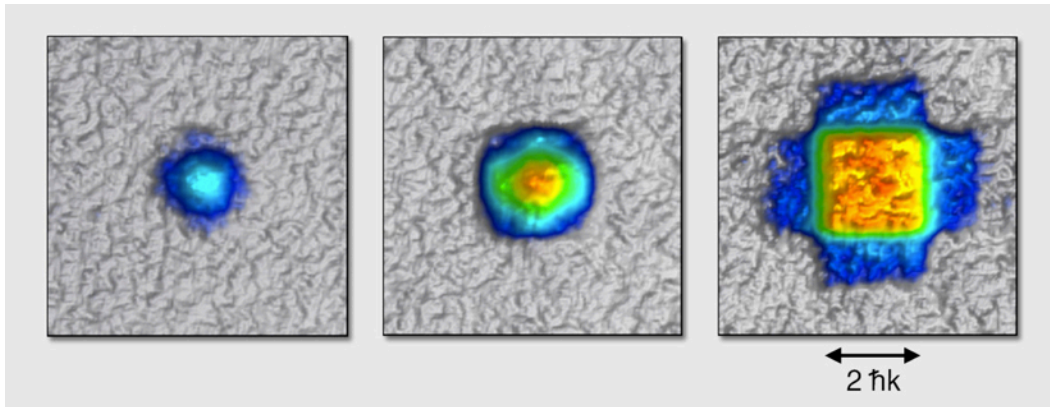
***Computational task: solve quantum impurity model: not necessarily easy, but do-able**

=>releases many-body physics from twin tyrannies of
--focus on coherent quasiparticles/expansion about
well understood broken symmetry state
--emphasis on particle density and ground state
properties



Application

**‘Optical emulator’:
cold atomic gasses as analogue computers for model
systems of condensed matter physics**



T. Esslinger, Ann. Rev. CMP 129 (2010)

Very promising, but present experiments cannot reach low enough T; also validation needed

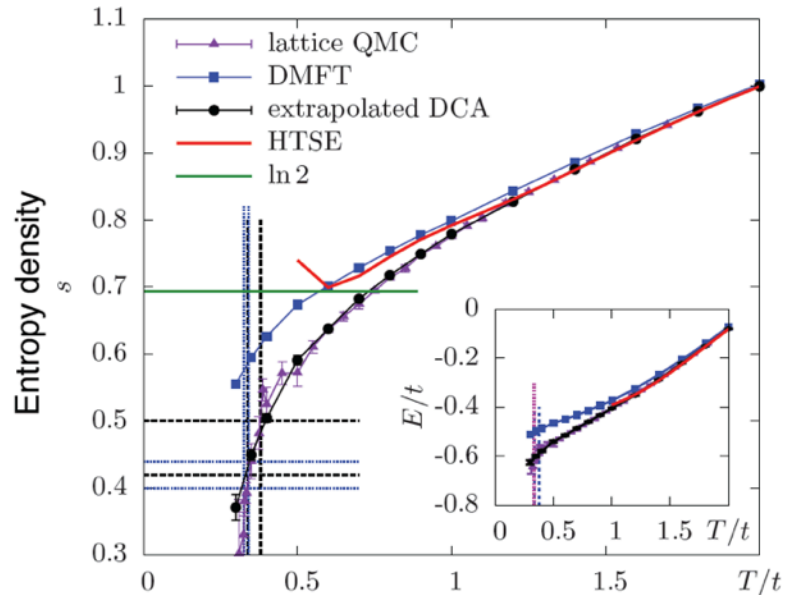
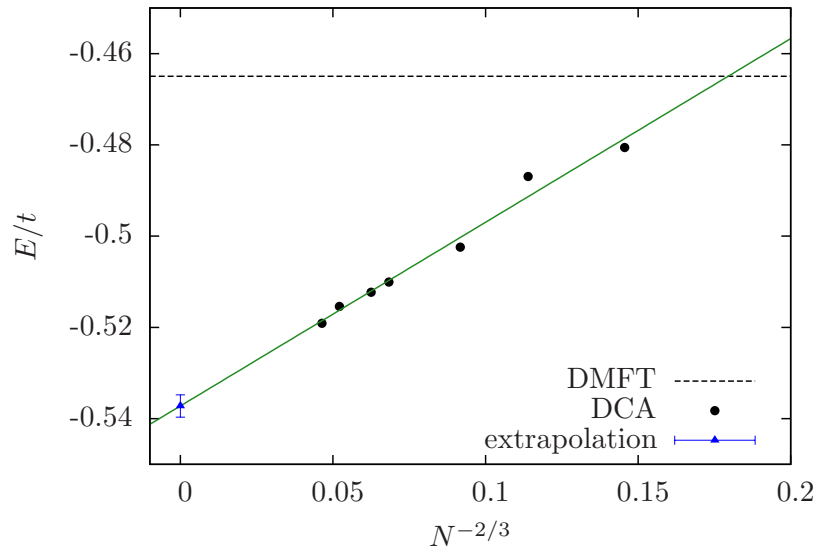


3 dimensional Hubbard model

L. Pollett, E. Gull et al PRL 106 030401 (2011)

cluster sizes up to 100 sites

$U=8t$, $Temp=0.4t$



Controlled extrapolation to thermodynamic limit now possible (at lower T than experiment)

DMFT: Optical emulator emulator



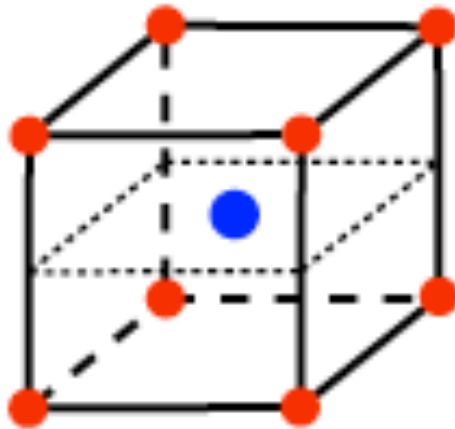
Remark:

very large clusters--‘heroic’ calculations, for Hubbard model only.

More typical: study range of modest size clusters--can work out cluster artifacts and obtain qualitative behavior--not quantitative with error bars



Response of a correlated system to a local charge



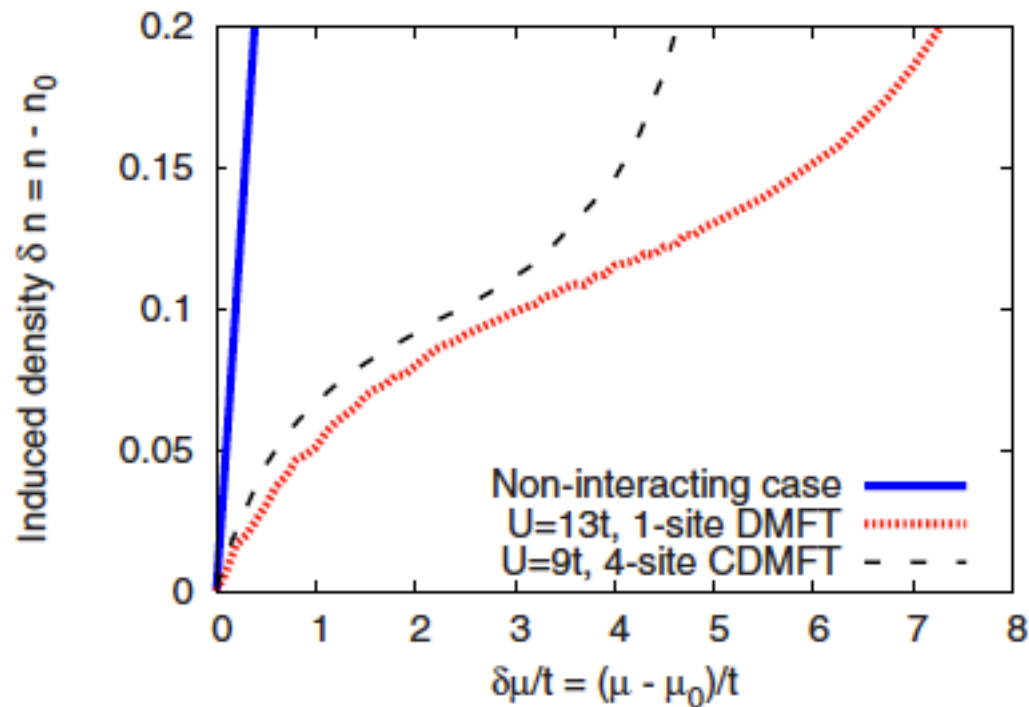
- **Charged impurity**
- **lattice sites for electrons**

Question: how do strong correlations (Mott gap) affect electron density response

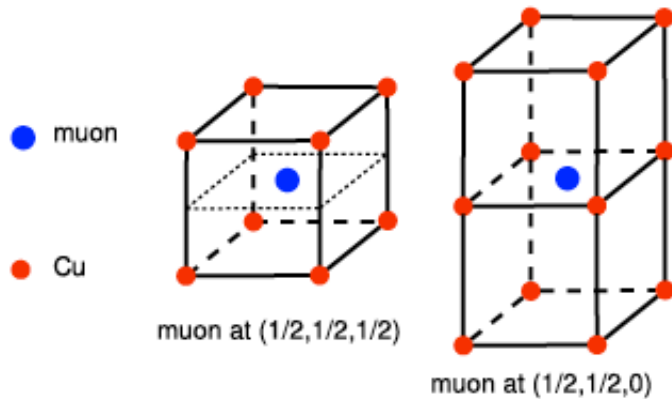
H. T. Dang, E. Gull and AJM PRB 81 235124 (2010)



Hubbard model: Local charge response



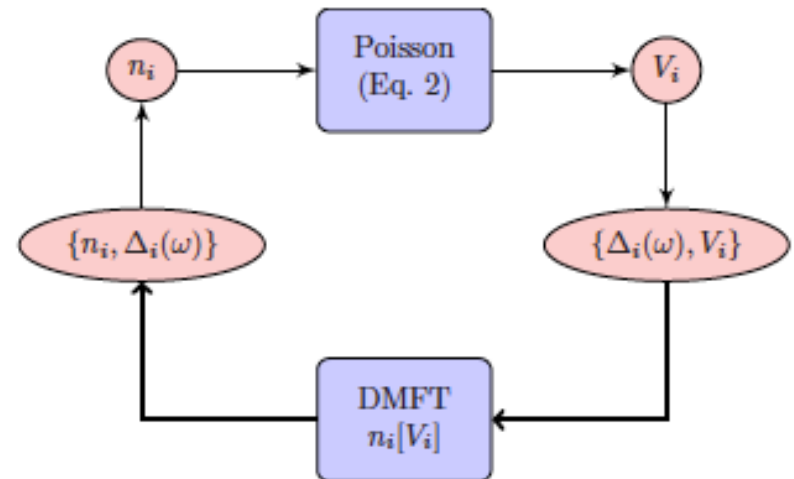
Charged impurity: CDMFT + Self consistent Hartree



$$V_i = -\frac{e^2}{\epsilon |\vec{R}_i - \vec{R}_\mu|} + \frac{e^2}{\epsilon} \sum_{j \neq i} \frac{\delta n_j}{|\vec{R}_i - \vec{R}_j|}$$

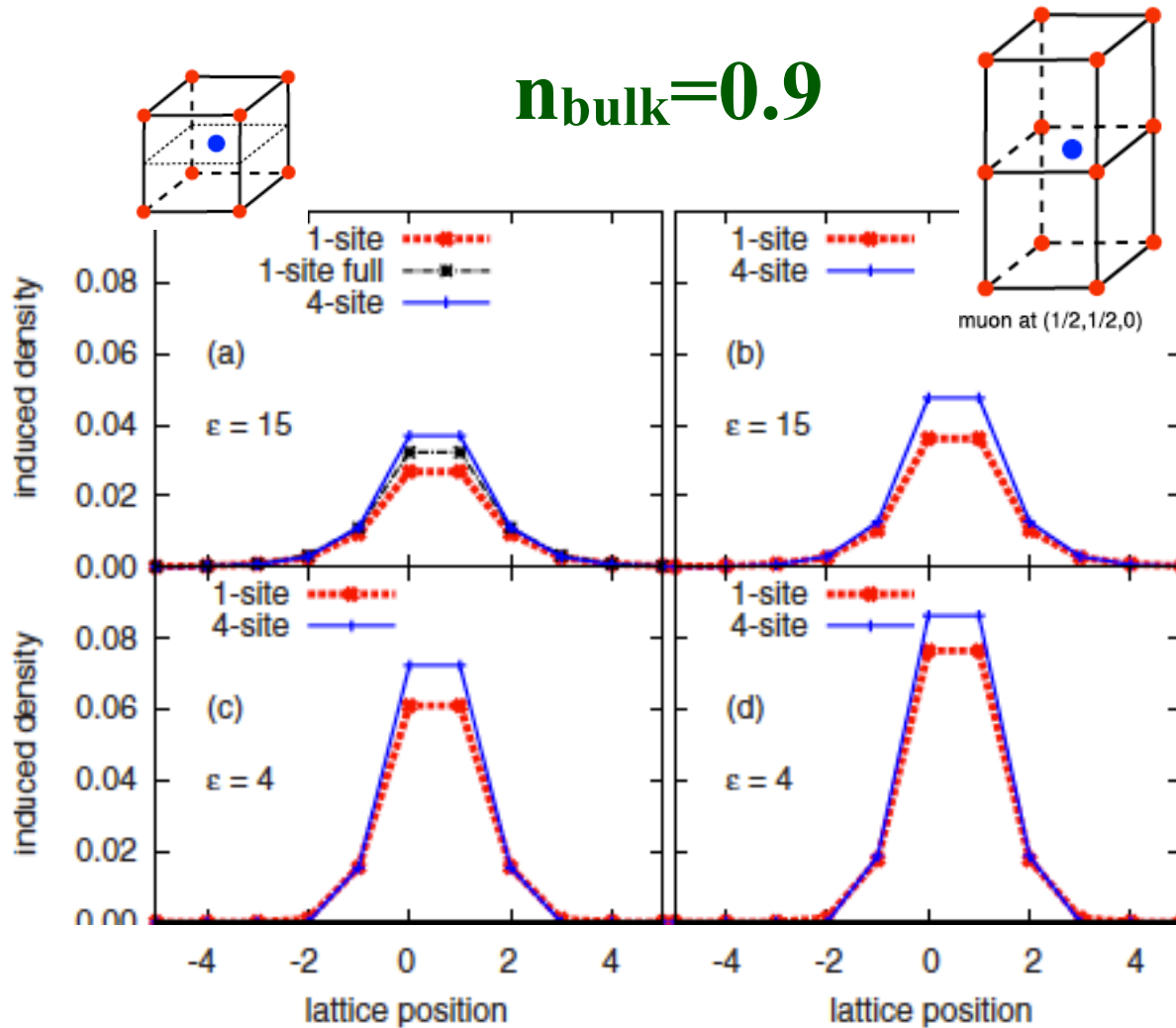
Open question: value of ϵ

Hubbard model + long ranged Coulomb



2d Hubbard + Coulomb

$n_{\text{bulk}} = 0.9$



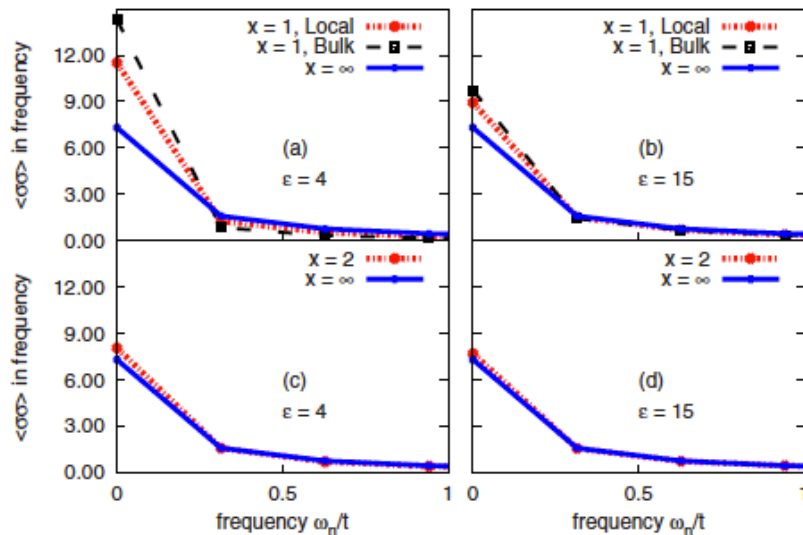
$$\delta n \sim \frac{n - n_{\text{Mott}}}{2}$$

Density along line (1,0)

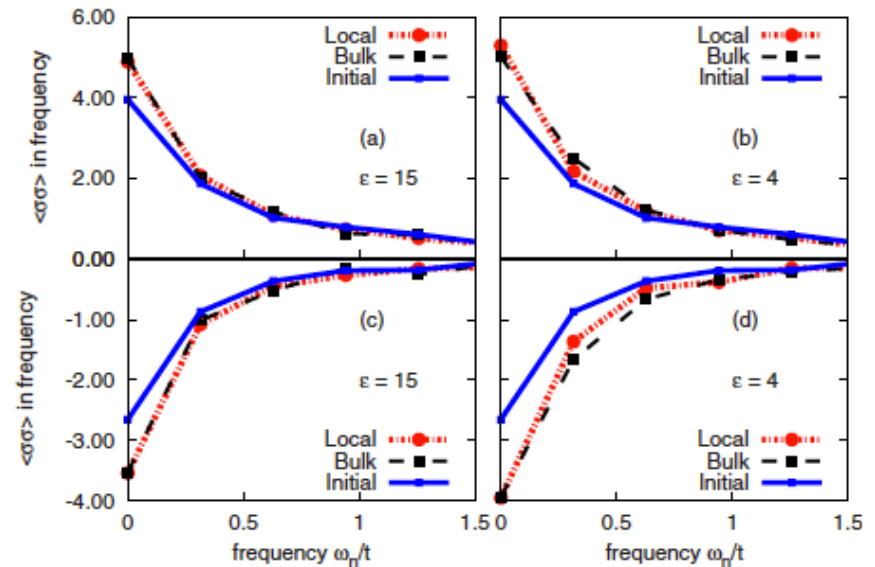


physical consequence of density change

Local spin correlators



single site DMFT



4 site CDMFT

Spin correlators \sim those of bulk system with local density



Muon may not be a ‘soft probe’

To firm up conclusion: band theory (more realistic treatment of dielectric screening) + DMFT



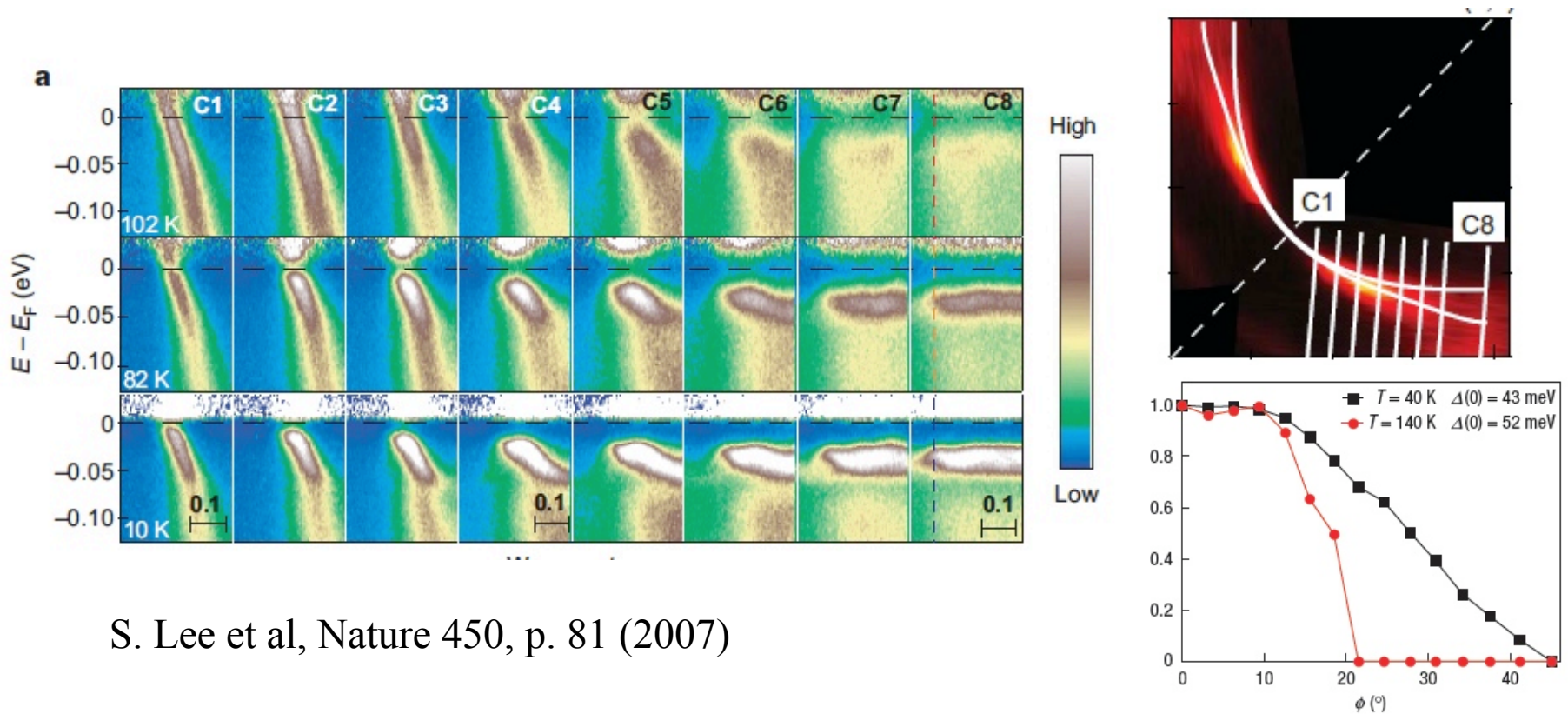
Global properties of Hubbard model and high T_c pseudogap



'Pseudogap'

Suppression of density of states in zone corner

Angle-resolved photoemission sample w/ 90K T_c :



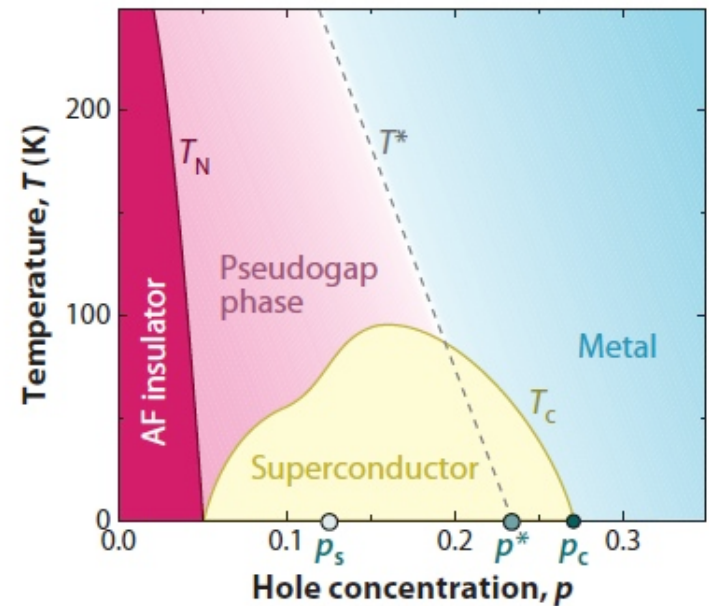
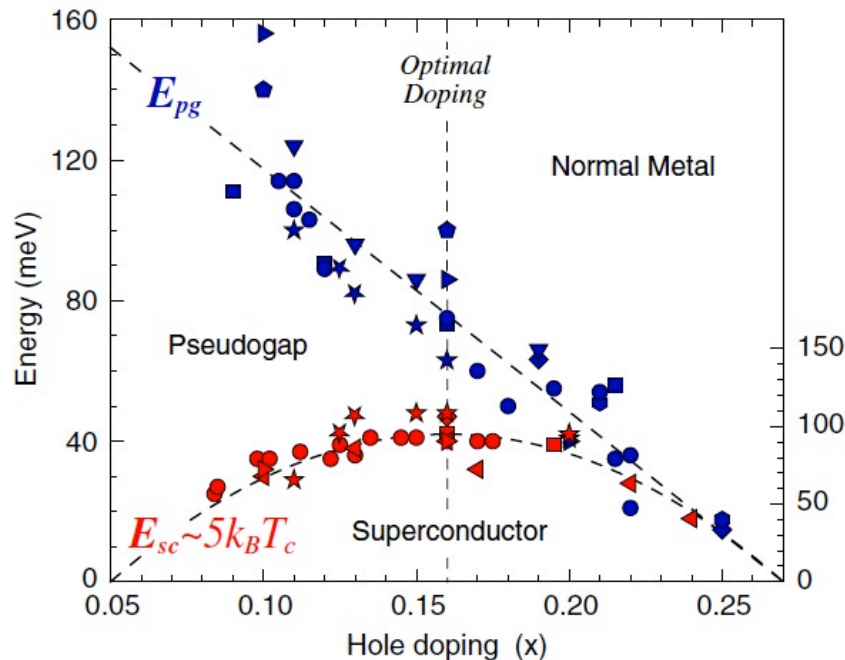
S. Lee et al, Nature 450, p. 81 (2007)



'Pseudogap'

Magnitude increases as doping decreases

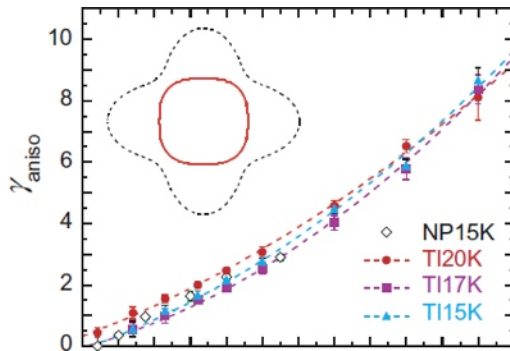
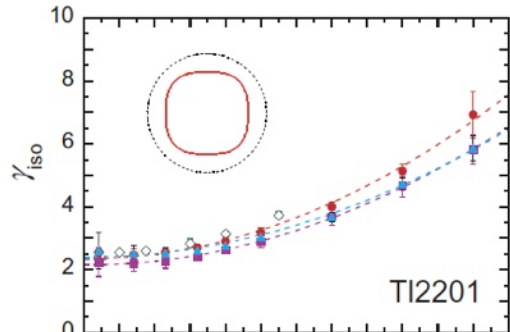
Onset temp. increases as doping decreases



Huefner et al Rep. Prog. Phys. 71 062501 (2008)



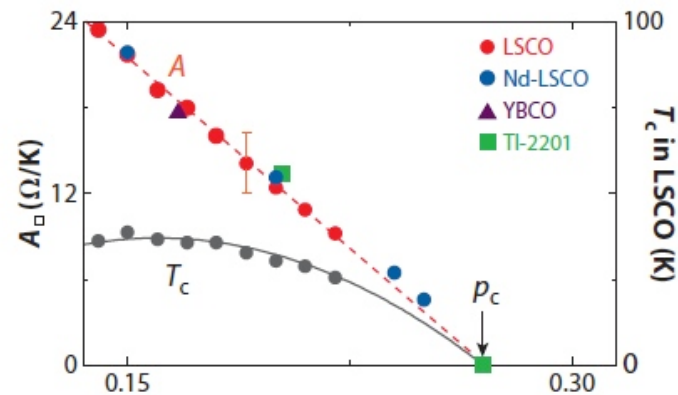
Precursor of pseudogap in momentum-space dependent scattering rate



Temperature

Idea: from anisotropy of magnetoresistance, can tease out variation of electronic scattering rate around fermi surface.

Result: unconventional term (rate $\sim T$ not T^2) associated with $(0, \pi)$ turns on as doping is decreased.



M. J. French et al., N. J. Phys.

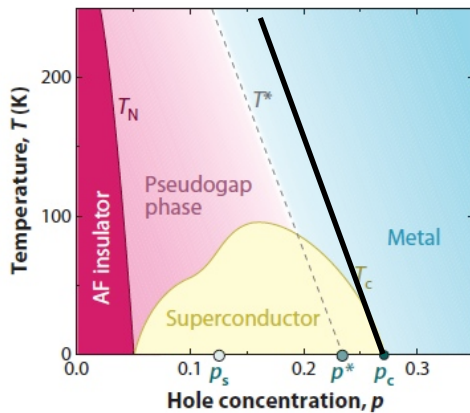
11 055057 (2009)

L. Taillefer Ann. Rev. Condens.Matter Phys. 2010. 1:51–70

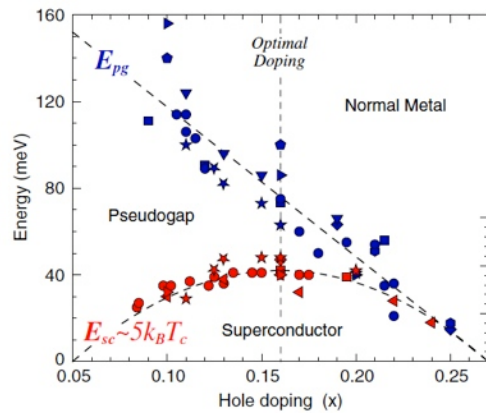


Question

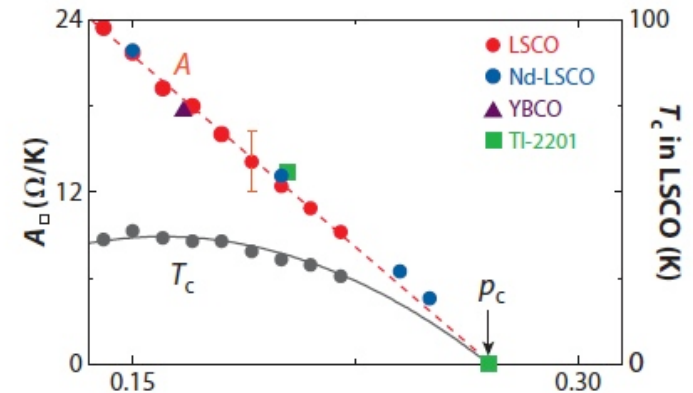
Phase diagram



Pseudogap



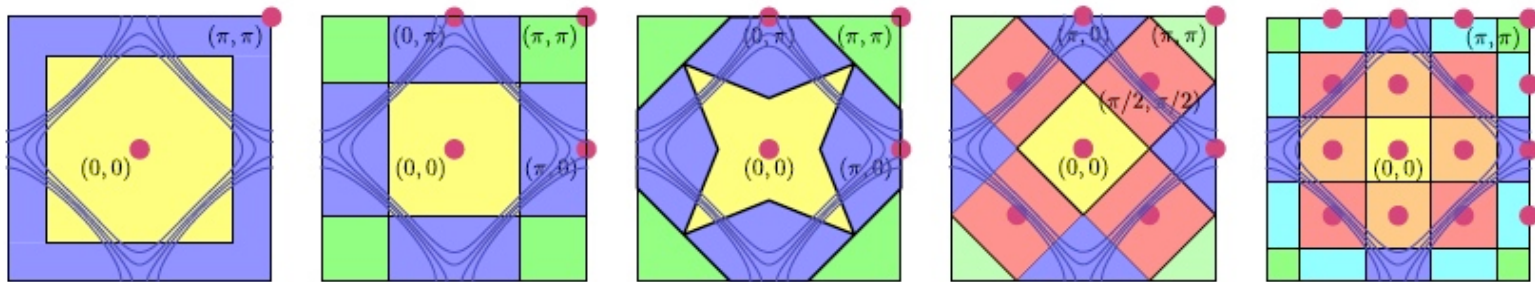
Scattering rate



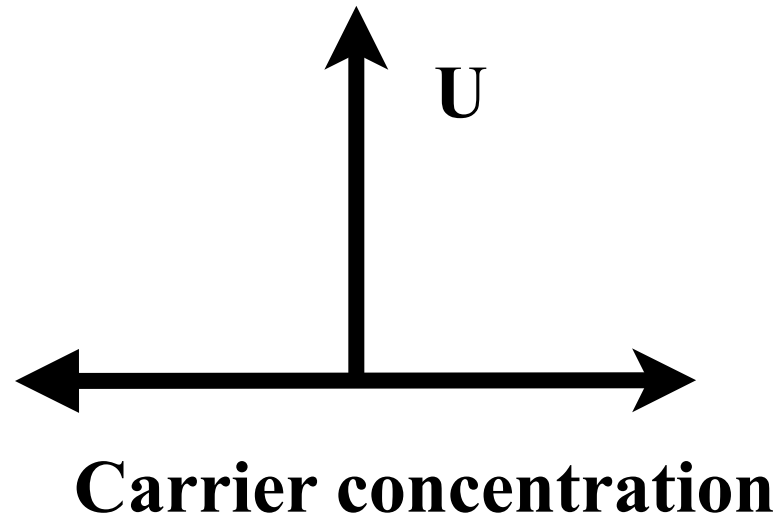
Are these phenomena properties of a theoretical model?



2,4,8,16 site cluster DMFT

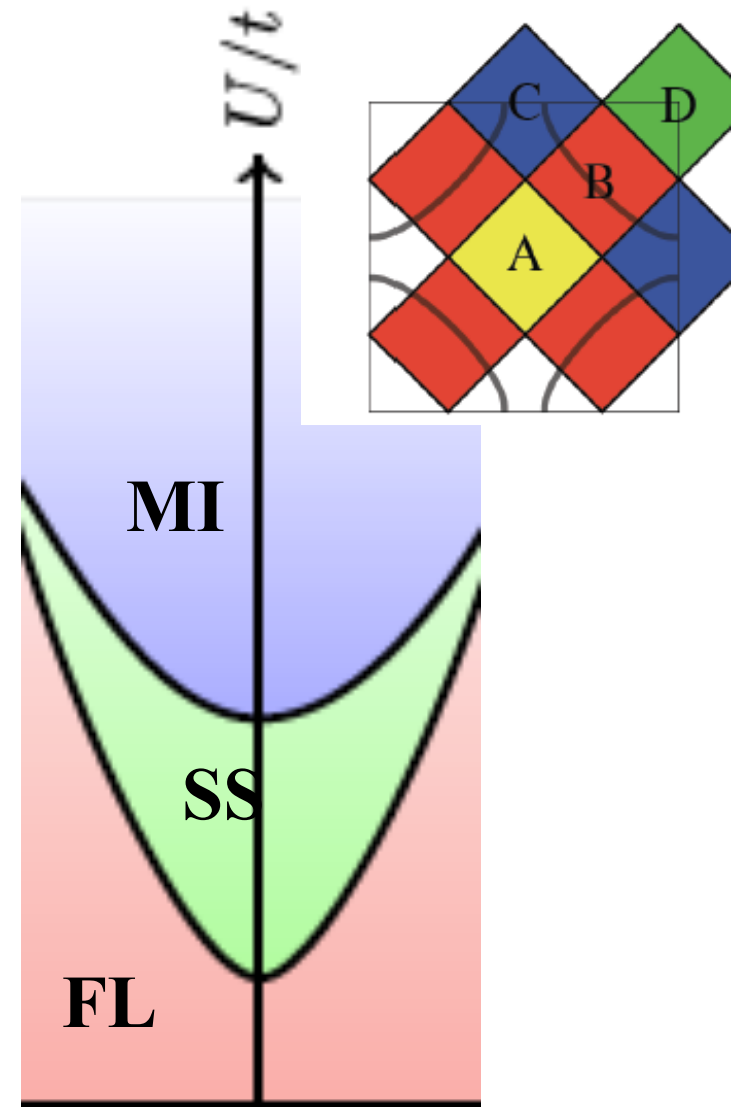


**Focus on quantities
for which all
clusters give
(qualitatively) the
same answers**



Phase diagram: Interaction-driven transition, half filled 2D Hubbard model

**New result: ‘Sector
Selective’ (partially gapped)
phase separates Mott Insulator
and Fermi liquid phases**

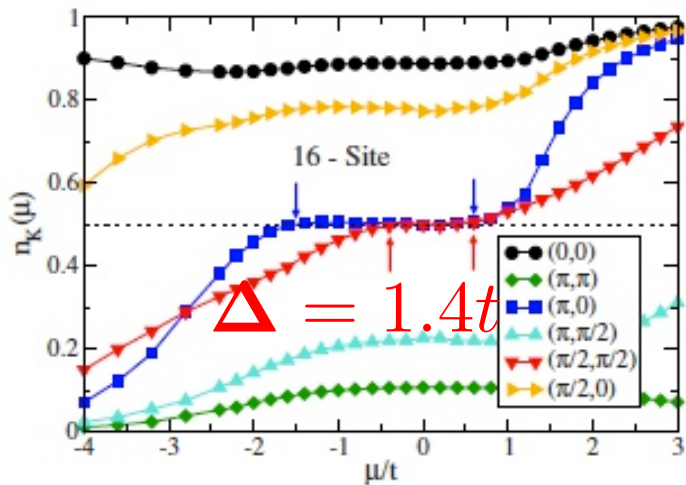
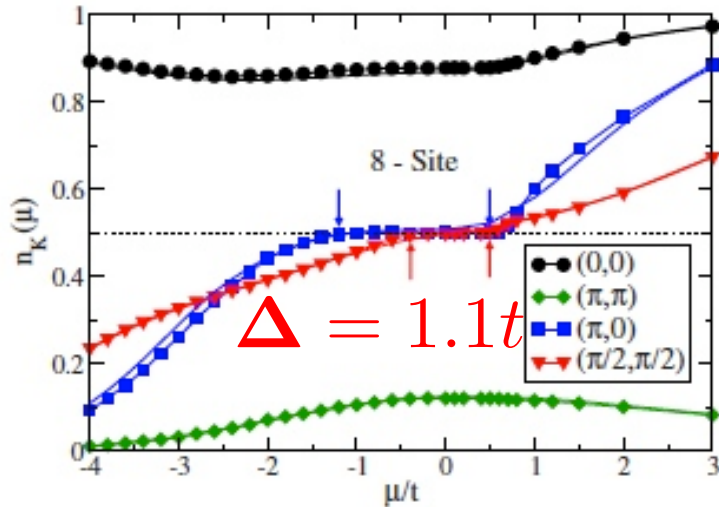
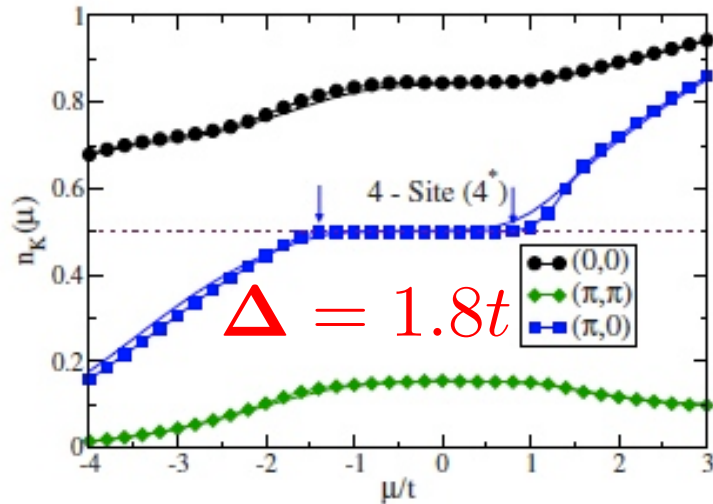


Doping phase diagram

$$U=7t$$



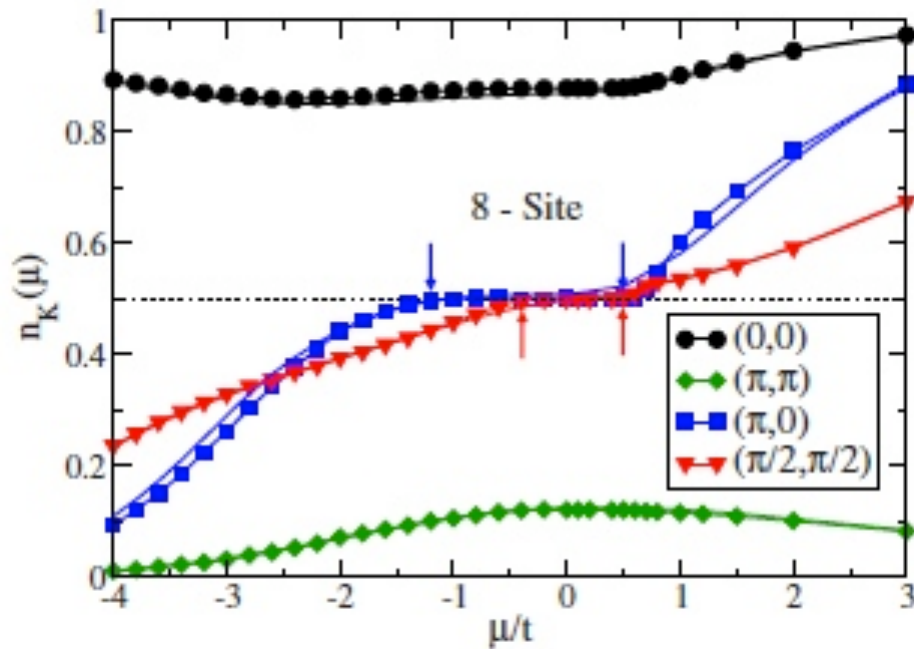
Momentum sector occupancy vs chemical potential



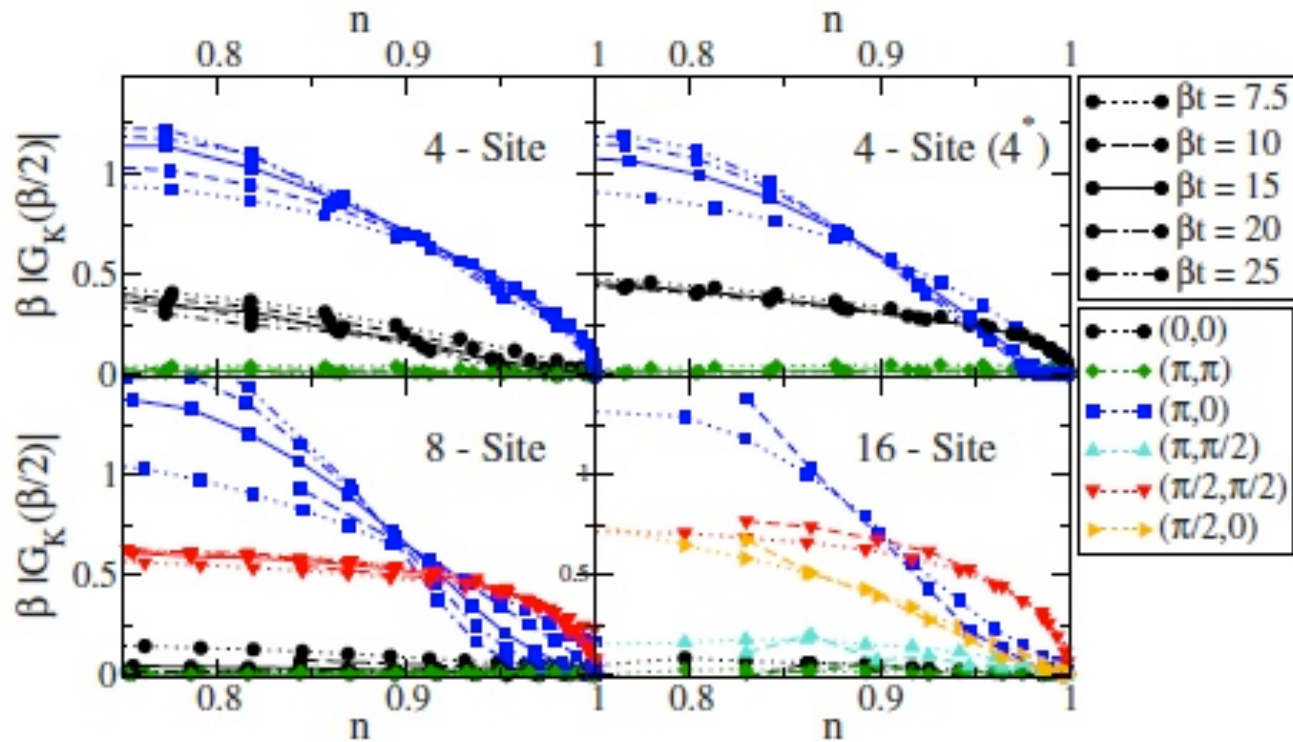
All sizes: $n=1 \Rightarrow$ gap (different in different momenta)
 \Rightarrow paramagnetic (Mott) insulator, reasonable estimate of gap $\sim 1.4t$



‘Sector selective’ transition: region near $(0,\pi)$ remains gapped on doping



Additional evidence for ‘sector selectivity’



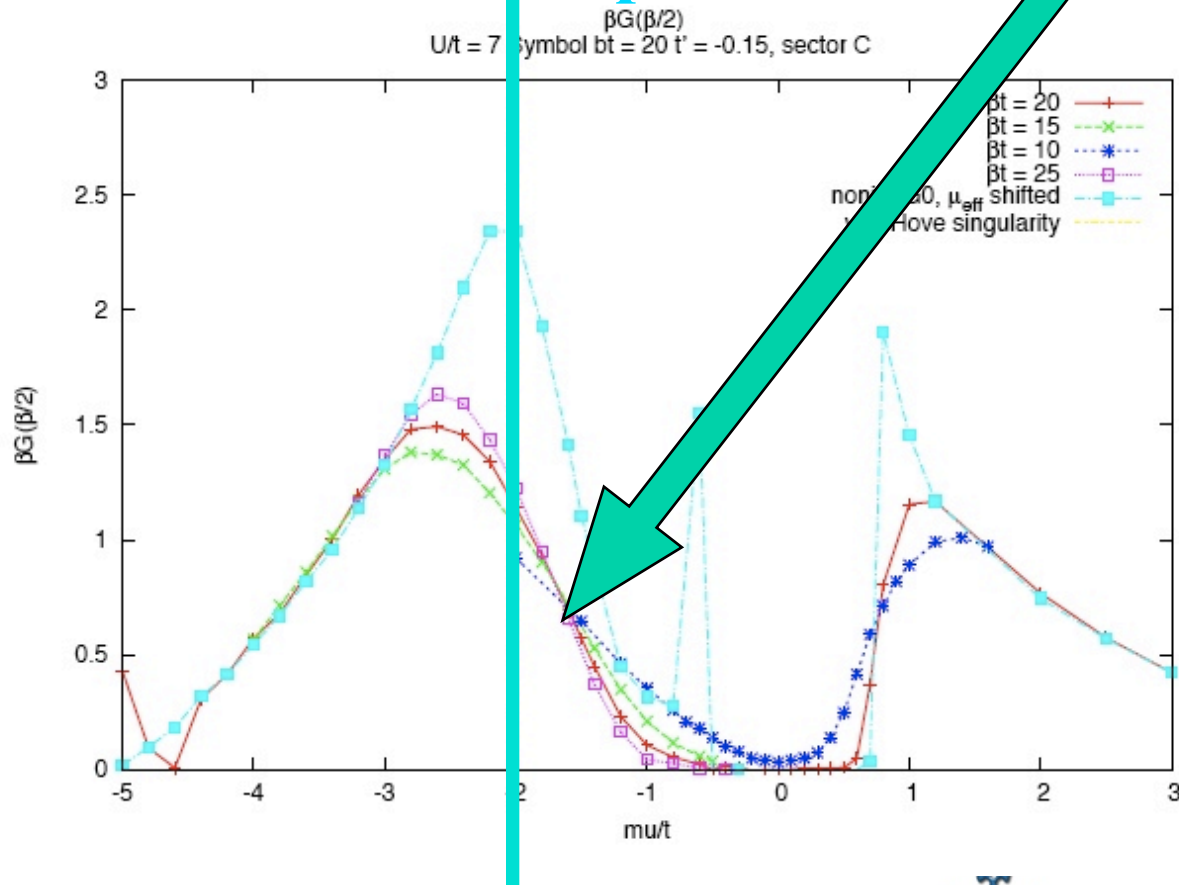
$$\beta G(\tau = \frac{\beta}{2}) = \beta \int \frac{dx}{4\pi} \frac{A_{sector}(x)}{\cosh \frac{x}{2T}} = \int \frac{dy}{4\pi} \frac{A_{sector}(2Ty)}{\cosh y}$$



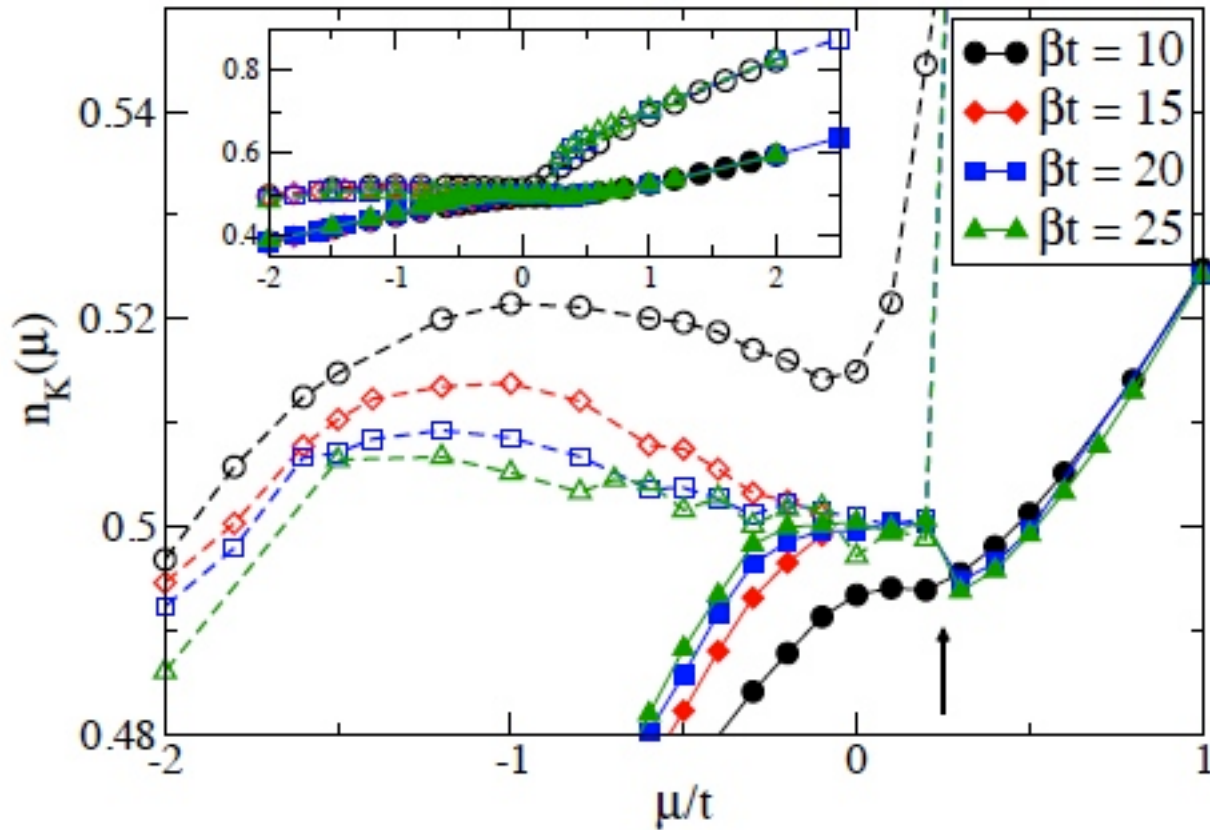
Transition not controlled by van Hove physics

van Hove point

Sector-selective point



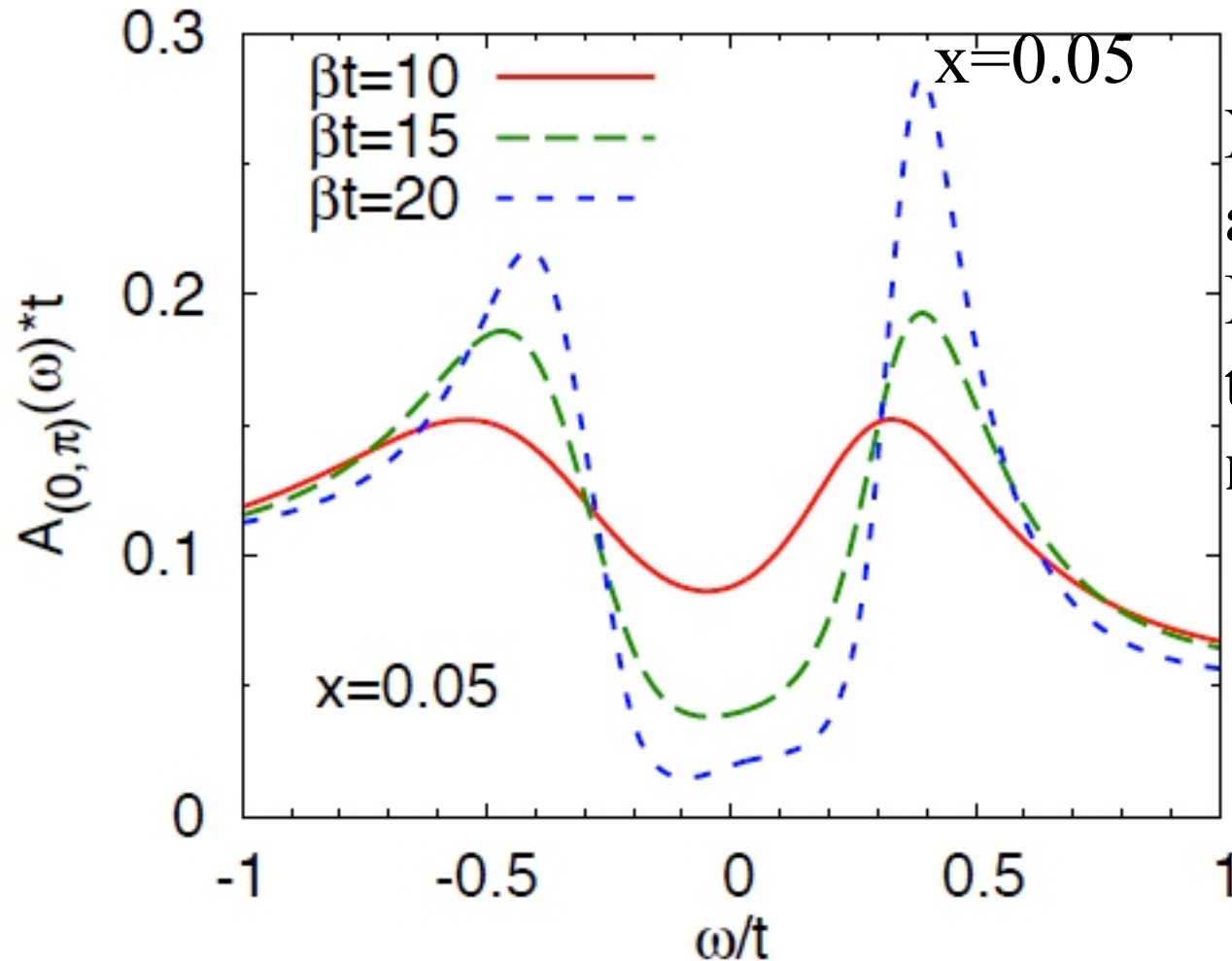
$$t' = -0.3t$$



e-doping: transition strongly first order

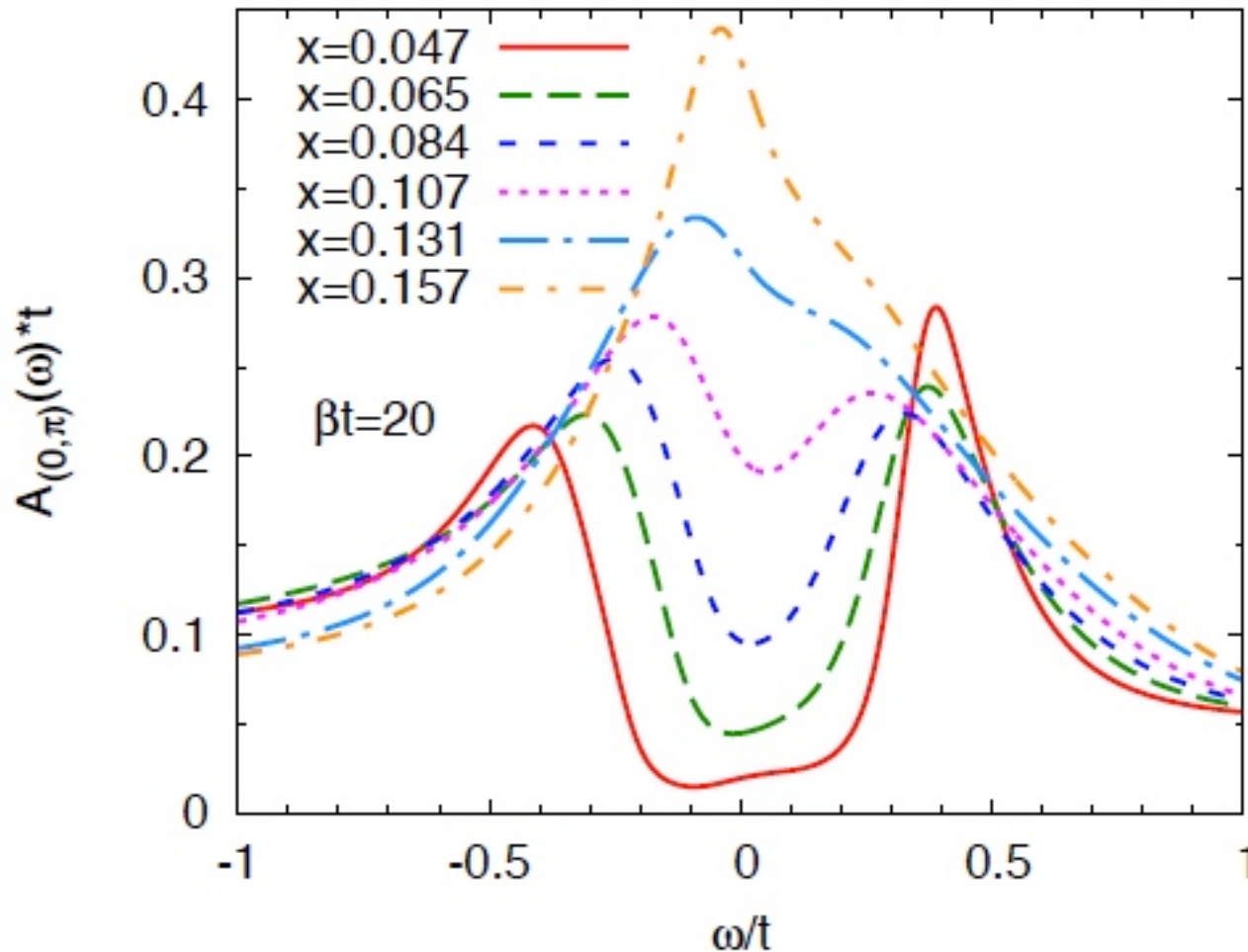


Closer look at the pseudo--or is it real-- gap: maximum entropy analytical continuation



**Note: gap ‘fills in’
as T increases.
Magnitude (peak
to peak distance)
not changed much**

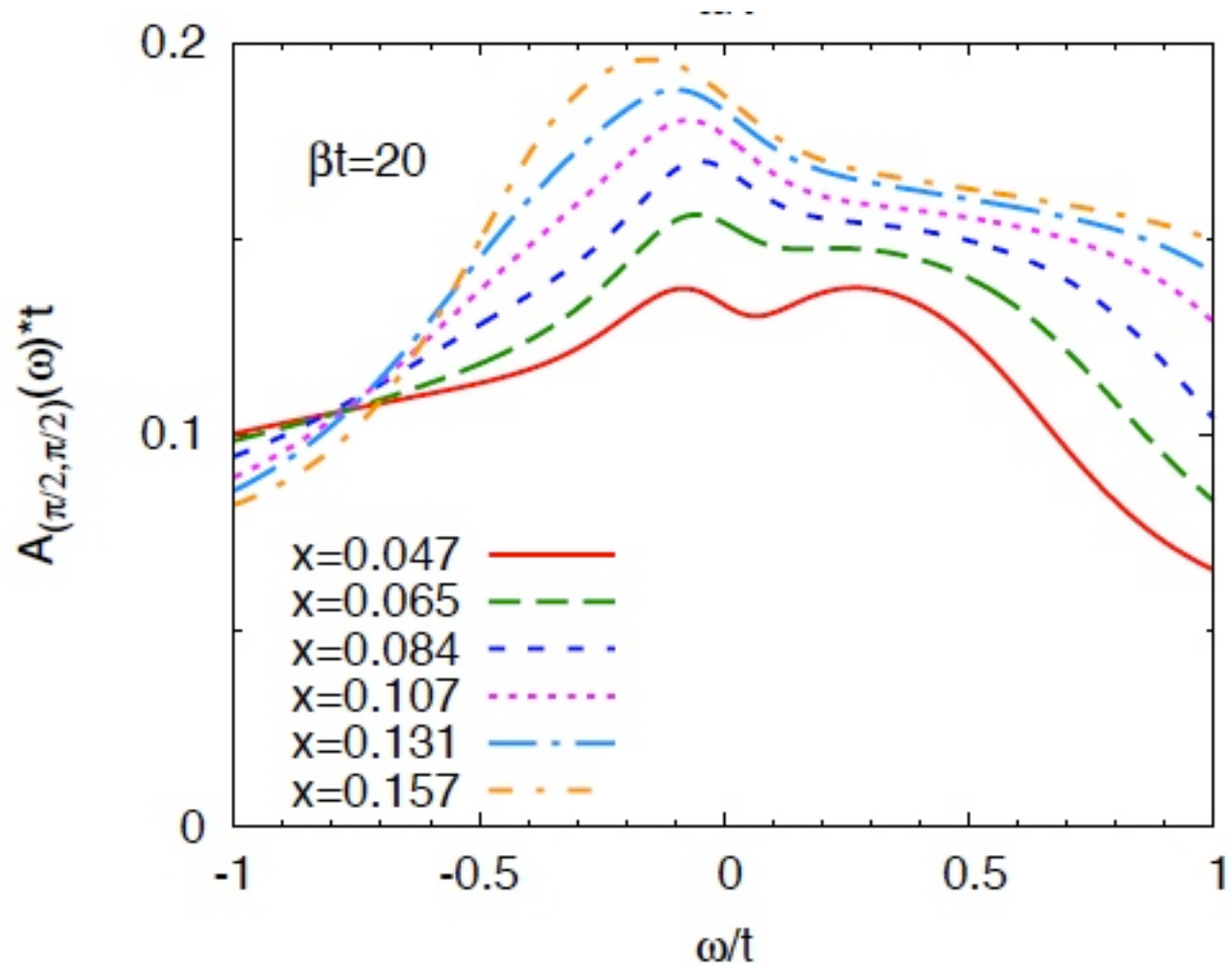
Doping dependence



**Gap decreases
with increasing
doping--but
has filled in,
not closed at
 $x \sim 0.11$
boundary of
sector selective
phase**



Zone diagonal sector

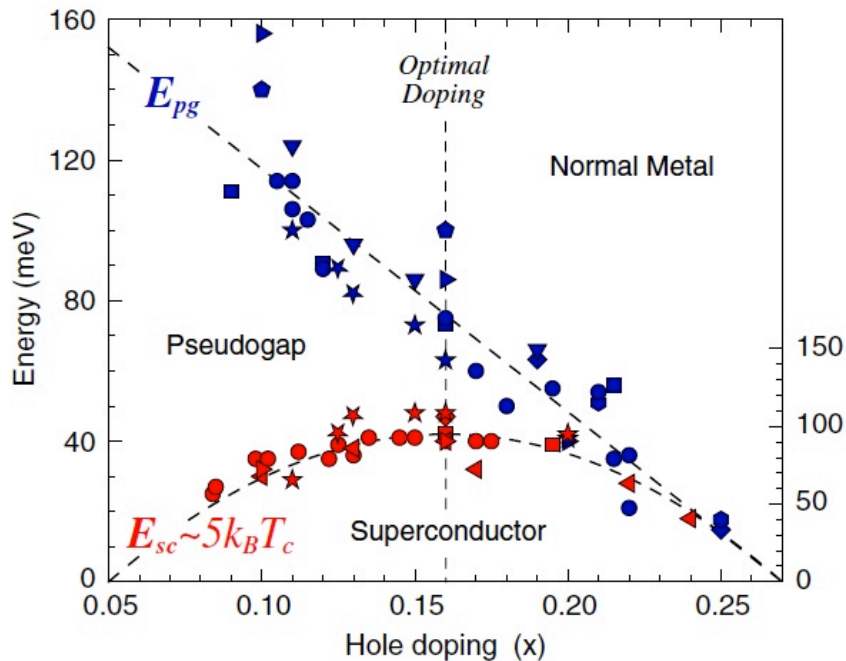


**Possibly hint
of gap at
lowest
dopings, but
otherwise no
gap**

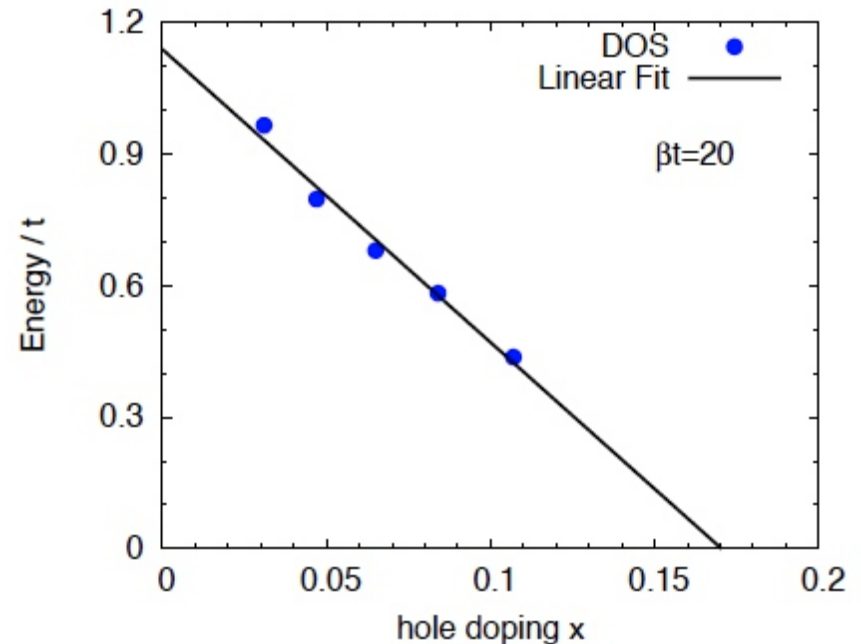


Summary of gap size

Compilation of data



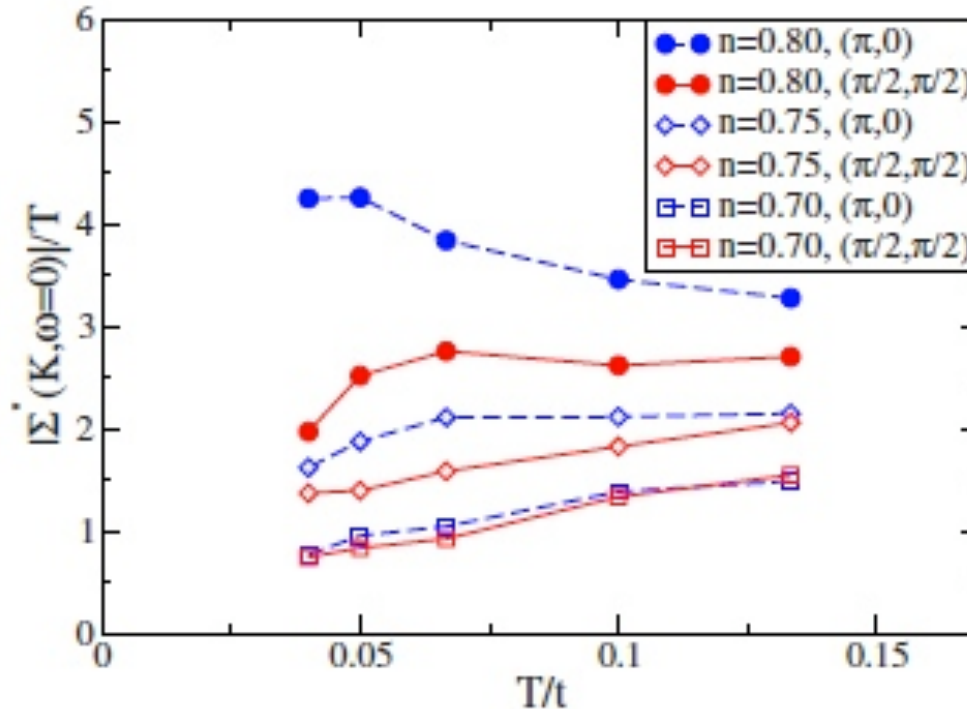
Calculation ($t=300\text{meV}$)



Huefner et al Rep. Prog. Phys. 71 062501 (2008)



Higher doping: electron scattering rate divided by T



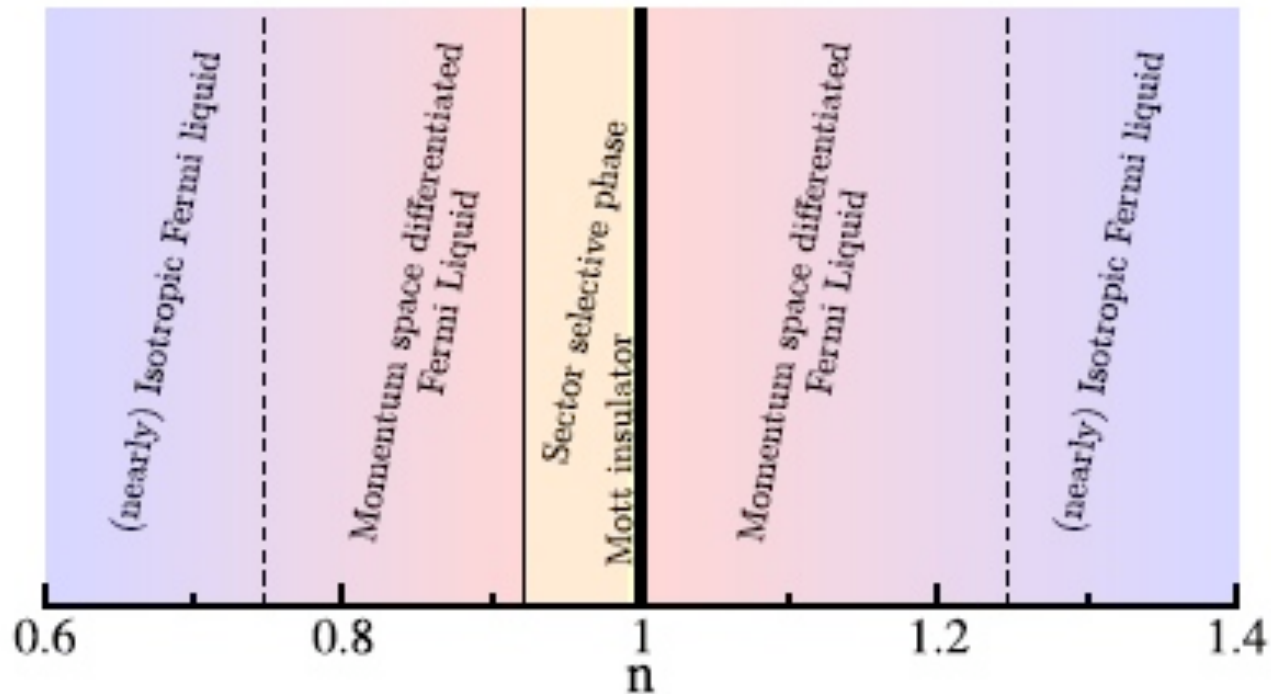
High doping regime of isotropic scattering

Intermediate doping: anisotropy in magnitude, T-dep



Doping phase diagram

$$U=7t$$



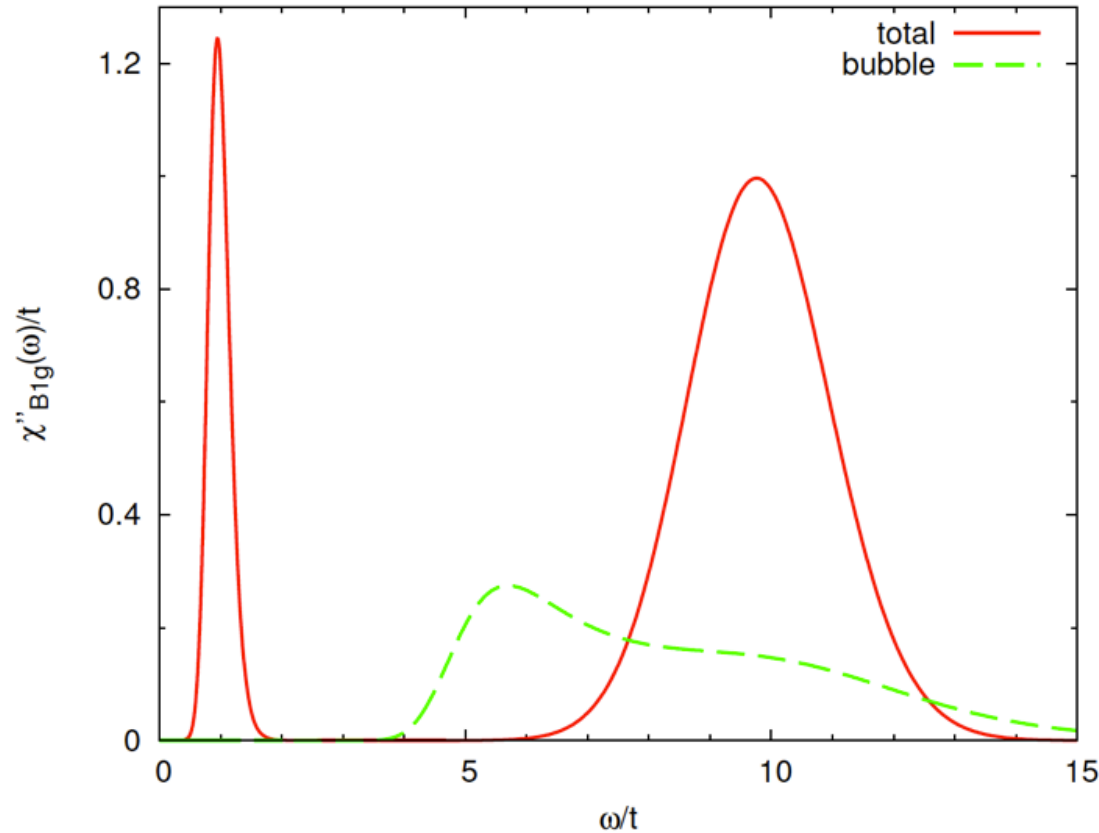
Summary

New methods beginning to yield quantitative results for dynamical quantities, at least for model systems. Example: pseudogap physics of high- T_c

- **insulating behavior from short ranged correlations at $n=1$**
- **Particle-hole asymmetry**
- **new mechanism for metal-insulator transition**
- **Nodal/antinodal differentiation and pseudogap**
- **Behavior of optical conductivity**

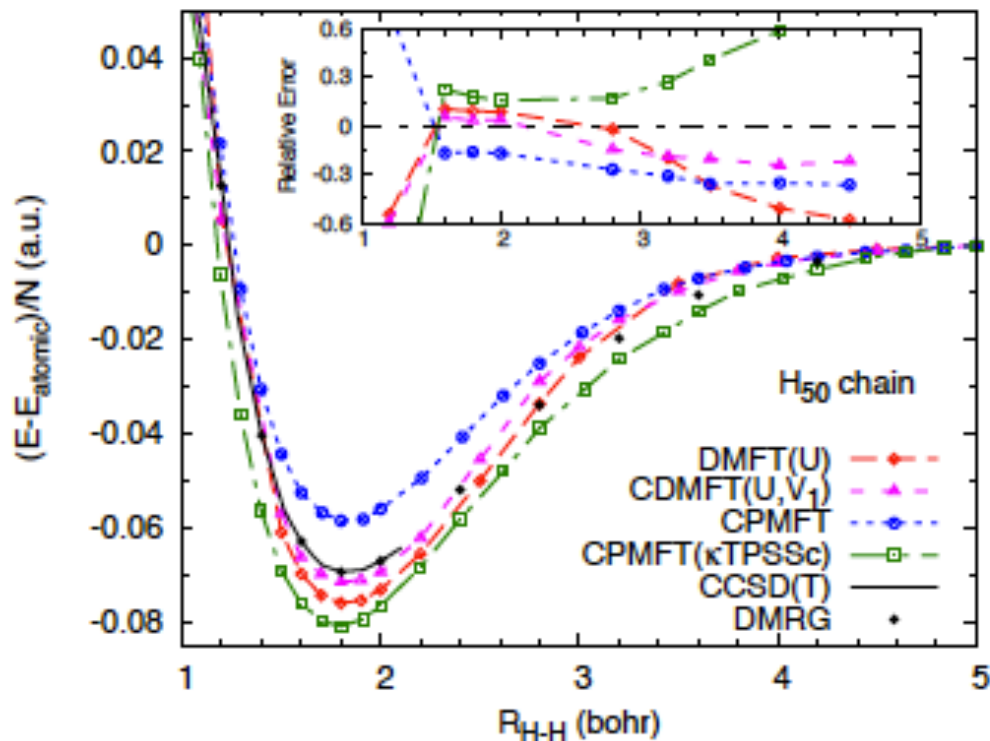


2 particle response functions (N. Lin, E. Gull, AJM in preparation)



Methods may be useful for quantum chemistry

Quantum chemical test-bed: H_n molecule



Nan Lin, C. Marianetti, A. J. Millis, and D.
Reichman, Phys. Rev. Lett. **106**, 096402 (2011).



Prospects

- **Quantitative extrapolation to thermodynamic limit now coming on line (for simple models with density interaction)**
- **Vertex corrections: two particle responses**
- **Wide range of other questions**



Gottfried Wilhelm von Leibniz' dream...

“If controversies were to arise, there would be no more need of disputation between two philosophers than between two accountants. For it would suffice to take their pencils in their hands, and say to each other, ‘Let us calculate.’”



Open Issues

- **Non-hubbard models:**
 - **Multiplet interactions: possible (so far) only with single site DMFT**
 - **non-local interactions ('V')**
- **Mapping real materials onto many-body models:**
 - **'the double counting correction'**
 - **screening**
- **Real time: response functions and neq dynamics**



Now this is not the end. It is not even the beginning of the end. But it is, perhaps, the end of the beginning.



Open Issues

‘materials theory’ of correlated compounds



Open Issues

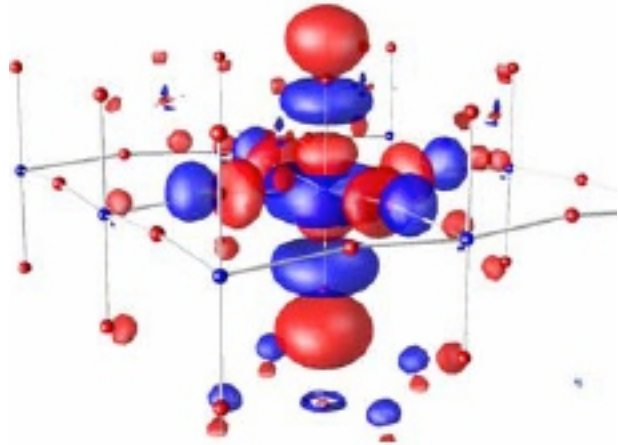
‘materials theory’ of correlated compounds
meaning of models



Define correlated orbitals: wave functions and so interactions depend on energy window

If project onto near fermi level states, wannier function spatially extended: longer ranged hopping and more complicated interactions

Representation of Wannier function for LaNiO₃



???

$$- \sum_{ij\sigma} t_{i-j} d_{i\sigma}^\dagger d_{j\sigma} + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

OK Andersen <http://online.kitp.ucsb.edu/online/materials10/andersen/>



As make energy range narrower

Miyake et al J. Phys. Soc. Jpn. 77 (2008) Supplement C pp. 99–102

Aichhorn et al Phys. Rev. B 80, 085101

- **Wave function more delocalized**
- **Interaction smaller**
- **Deviation from free space relations larger**

FeAs materials

d-p basis

band

$$U_{mm'}^{\sigma\bar{\sigma}}|_{\text{cRPA}} = \begin{pmatrix} 3.77 & 2.35 & 2.21 & 2.71 & 2.71 \\ 2.35 & 3.94 & 2.87 & 2.44 & 2.44 \\ 2.21 & 2.87 & 3.31 & 2.29 & 2.29 \\ 2.71 & 2.44 & 2.29 & 3.48 & 2.29 \\ 2.71 & 2.44 & 2.29 & 2.29 & 3.48 \end{pmatrix}$$

‘averaged’ U, J (free space symm enforced)

$$U_{mm'}^{\sigma\bar{\sigma}} = \begin{pmatrix} 3.59 & 2.19 & 2.19 & 2.73 & 2.73 \\ 2.19 & 3.59 & 2.91 & 2.37 & 2.37 \\ 2.19 & 2.91 & 3.59 & 2.37 & 2.37 \\ 2.73 & 2.37 & 2.37 & 3.59 & 2.37 \\ 2.73 & 2.37 & 2.37 & 2.37 & 3.59 \end{pmatrix}$$

d-only basis

band

$$U_{mm'}^{\sigma\bar{\sigma}}|_{\text{cRPA}} = \begin{pmatrix} 3.17 & 2.02 & 1.72 & 2.22 & 2.22 \\ 2.02 & 3.36 & 2.16 & 2.04 & 2.04 \\ 1.72 & 2.16 & 2.17 & 1.73 & 1.73 \\ 2.22 & 2.04 & 1.73 & 2.73 & 1.84 \\ 2.22 & 2.04 & 1.73 & 1.84 & 2.73 \end{pmatrix}$$

**averaged
U, J**

$$U_{mm'}^{\sigma\bar{\sigma}} = \begin{pmatrix} 2.82 & 1.77 & 1.77 & 2.18 & 2.18 \\ 1.77 & 2.82 & 2.31 & 1.91 & 1.91 \\ 1.77 & 2.31 & 2.82 & 1.91 & 1.91 \\ 2.18 & 1.91 & 1.91 & 2.82 & 1.91 \\ 2.18 & 1.91 & 1.91 & 1.91 & 2.82 \end{pmatrix}$$



Department of Physics
Columbia University

Open Issues

‘materials theory’ of correlated compounds
meaning of models
values of parameters (screening)



Screening

Other degrees of freedom react to screen charge fluctuations on correlated orbitals

(Arasetiywan):
replace

$$U_{m_1 m_2 m_3 m_4}^{\sigma_1 \sigma_2 \sigma_3 \sigma_4} = e^2 \int d^3 r d^3 r' \frac{\psi_{m_1 \sigma_1}^\dagger(r) \psi_{m_3 \sigma_3}(r) \psi_{m_2 \sigma_2}^\dagger(r') \psi_{m_4 \sigma_4}(r')}{|\vec{r} - \vec{r}'|}$$

$$\mathbf{W}_{m_1 m_2 m_3 m_4}^{\sigma_1 \sigma_2 \sigma_3 \sigma_4}(\omega) =$$

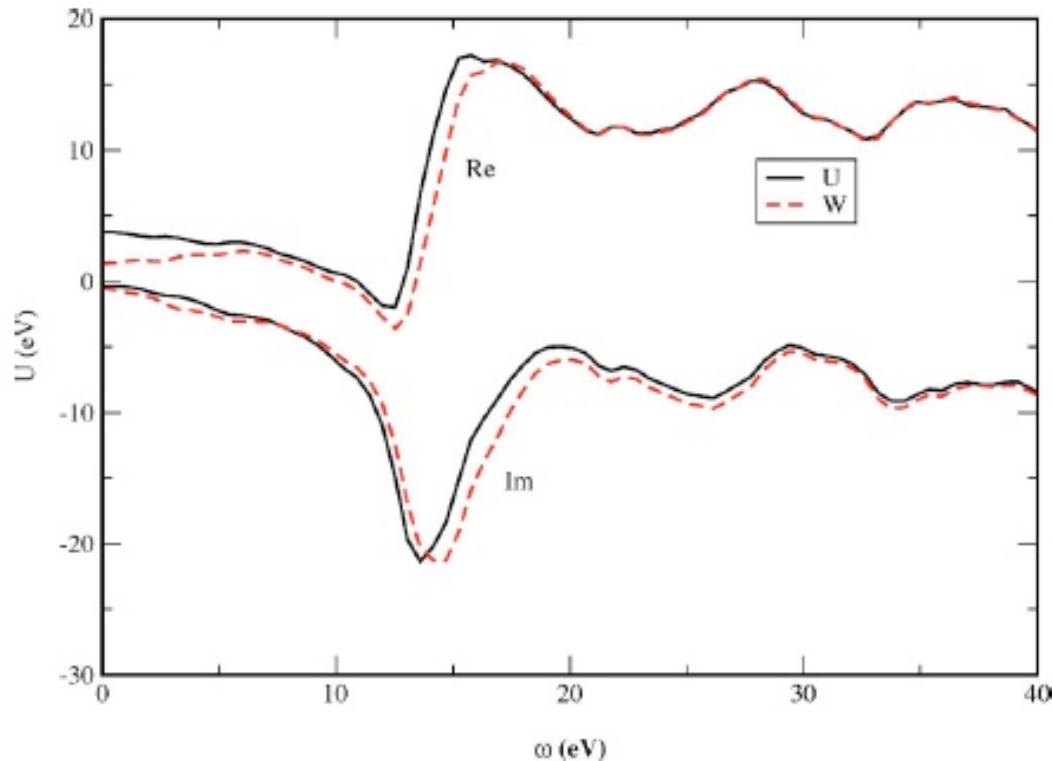
$$\int d^3 r d^3 r' \psi_{m_1 \sigma_1}^\dagger(\mathbf{r}) \psi_{m_3 \sigma_3}(\mathbf{r}) \mathbf{W}(\mathbf{r}, \mathbf{r}', \omega) \psi_{m_2 \sigma_2}^\dagger(\mathbf{r}') \psi_{m_4 \sigma_4}(\mathbf{r}')$$

$$\mathbf{W}(\mathbf{r}, \mathbf{r}'; \omega) = \frac{e^2}{|\mathbf{r} - \mathbf{r}'|} * \left[\mathbf{1} - \mathbf{P}_r(\omega) * \frac{e^2}{|\mathbf{r} - \mathbf{r}'|} \right]^{-1}$$

**W is coulomb
int screened by
other bands**



Typical result: SrVO₃



**Strong screening on
~15eV scale (all
electron plasma
frequency)**

**Factor of 2 changes on
~5eV scale (screening
by nearby orbitals)**

Screening important (for 'U').

Open question: dynamical effects?

See P. Werner and AJM ArXiv1001.1377



Theory

Expectation value of current: $\vec{j}(t) = \text{Tr} [\vec{\mathbf{J}}(A)\mathbf{G}(t; \{\vec{A}\})]$

Current operator \mathbf{J} and Green function \mathbf{G} computed in presence of vector potential \mathbf{A}

Hamiltonian: $\hat{H}[\{\mathbf{A}\}] = \hat{T}[\{\mathbf{A}\}] + \hat{U}$

Hopping: depends on \mathbf{A}

Interaction: particle positions, not velocities

$$\vec{J} = \frac{\delta \hat{T}}{\delta \vec{A}}$$

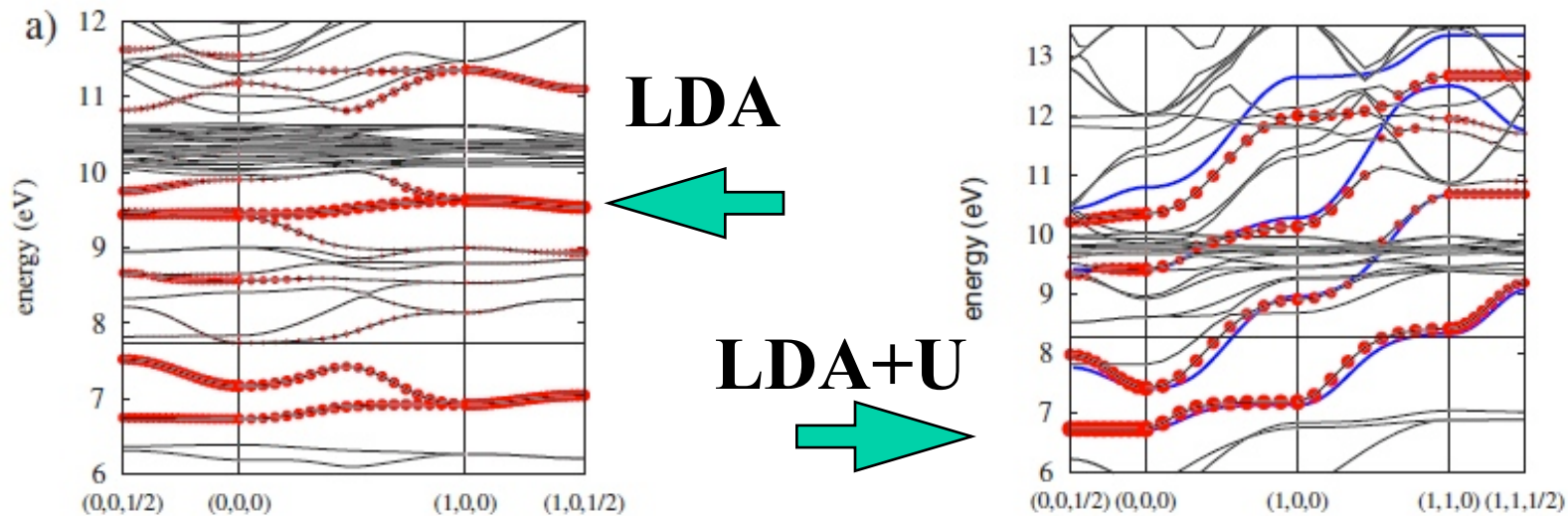
$$G = \left[i\partial_t - \hat{T}(\vec{A}) - \Sigma(\vec{A}) \right]^{-1}$$



Double counting shifts d levels down by $U/2$ (roughly): consequences for mixing with other bands

ex: Ederer PRB76 155105 LaMnO_3 :

Mn e_g symmetry d bands highlighted in red



Position, shape of e_g relative to other bands changes!



Bottom line

- **Techniques exist to extract correlated states**
- **For ‘simple’ structure of interactions, effective hamiltonian’ should include other (e.g. oxygen degrees of freedom)**
- **Screening (at least of ‘U’) is important**

Open questions:

- Is it enough to use the low freq. screened U
- d-p interactions??
- Are polarizability effects correctly captured by RPA
- Double counting problem not well understood



Open Issues

‘materials theory’ of correlated compounds

meaning of models

values of parameters (screening)

‘double counting’ problem--some interactions already in LDA

Solution of models

What we can do: single site, up to 5 (in some cases 7) orbitals, complicated interactions. cluster: only Hubbard models, mainly 1 and 2d.

meaning, reliability of cluster methods

Reliability of single-site DMFT in $d=3$?

Longer ranged interactions??



The next few years

- **Improve methods**
 - **Clusters**
 - **Mapping to materials (high energy physics)**
 - **Mapping to models (low energy effective field theories)**
- **Apply to more systems and models.**

Approach: do stuff. improve methods. see what works and what doesn't. A lot of things to do.



Expanding

Vertex function: $\Gamma = \frac{\delta \Sigma}{\delta \vec{A}}$

=>usual 3 contributions to conductivity

$$\chi_{\text{dia}}(t - t') = \text{Tr} [\mathbf{K} \mathbf{G}(t = 0)] \delta(t - t')$$

$$\chi_{\text{bubble}}(t - t') = \text{Tr} [\vec{\mathbf{J}} \mathbf{G}(t - t') \vec{\mathbf{J}} \mathbf{G}(t' - t)]$$

$$\chi_{\text{vertex}}(t - t') = \text{Tr} [\vec{\mathbf{J}} \mathbf{G}(t - t_1) \vec{\mathbf{\Gamma}}(t_1 - t', t' - t_2) \mathbf{G}(t_2 - t),]$$

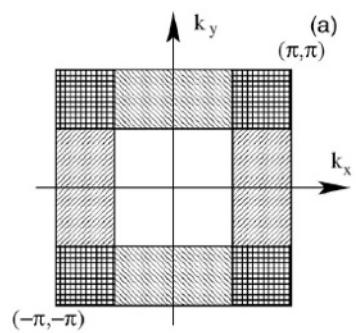


Vertex function: $\Gamma = \frac{\delta \Sigma}{\delta \vec{A}}$

In DCA: $\Sigma(k, \omega) = \sum_a^N \Sigma_a(\omega) \phi_a(k)$

=>2 contributions to vertex:

From k dependence (delta functions where patches meet)



From dependence of cluster self energy on A



Vertex from k-dependence: line where patches “a” and “b” meet

$$\vec{\Gamma}^k(\omega + \Omega, \omega) = \vec{n}^{ab} (\Sigma_b(\omega + \Omega) - \Sigma_a(\omega)) \delta \left((\vec{k} - \vec{k}^{ab}) \cdot \vec{n}^{ab} \right)$$

(Previous literature neglected this)



Vertex from k-dependence: line where patches “a” and “b” meet

$$\vec{\Gamma}^k(\omega + \Omega, \omega) = \vec{n}^{ab} (\Sigma_b(\omega + \Omega) - \Sigma_a(\omega)) \delta \left((\vec{k} - \vec{k}^{ab}) \cdot \vec{n}^{ab} \right)$$

(Previous literature neglected this)

Vertex from cluster self-energy: compute by linearizing self consistency equation in A

$$\delta \mathcal{G}_\alpha^{-1} - I_\Sigma[\{\delta \Sigma_\alpha\}] = -\vec{I}_v^\alpha \cdot \vec{A}$$

$$\vec{I}_v = -G_a^{-1} \left(\int_a (dk) G(k) \frac{\partial \varepsilon}{\partial \vec{k}} G(k) \right) G_a^{-1}$$

$$I_\Sigma = \delta \Sigma^a + G_a^{-1} \left(\int_\alpha (dk) G(k) \delta \Sigma^\alpha G(k) \right) G_a^{-1}$$



Vertex from k-dependence: line where patches “a” and “b” meet

$$\vec{\Gamma}^k(\omega + \Omega, \omega) = \vec{n}^{ab} (\Sigma_b(\omega + \Omega) - \Sigma_a(\omega)) \delta \left((\vec{k} - \vec{k}^{ab}) \cdot \vec{n}^{ab} \right)$$

(Previous literature neglected this)

Vertex from cluster self-energy: compute by linearizing self consistency equation in Λ

$$\delta G_\alpha^{-1} - I_\Sigma[\{\delta \Sigma_\alpha\}] = -\vec{I}_v^\alpha \cdot \vec{A}$$

$$\vec{I}_v = -G_a^{-1} \left(\int_a (dk) G(k) \frac{\partial \varepsilon}{\partial \vec{k}} G(k) \right) G_a^{-1}$$

$$I_\Sigma = \delta \Sigma^a + G_a^{-1} \left(\int_\alpha (dk) G(k) \delta \Sigma^\alpha G(k) \right) G_a^{-1}$$

**2 and 4 site clusters:
I=0=> self energy Λ -
indep.**

***8 site: vertex being
implemented now***

